

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF TEXAS
TYLER DIVISION

TRANSCRIPT OF MARKMAN HEARING
BEFORE THE HONORABLE JOHN D. LOVE,
UNITED STATES MAGISTRATE JUDGE

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| 1 | THE COURT: Ms. Morris, call the case. | 09:02:50 |
| 2 | COURTROOM DEPUTY: The Court calls Case | 09:02:53 |
| 3 | Number 6:08-CV-61, Fenner Investments versus 3Com | |
| 4 | Corporation, et al. | |
| 5 | THE COURT: Announcements. | 09:03:01 |
| 6 | MR. GOVETT: Good morning, Your Honor. | 09:03:03 |
| 7 | Brett Govett, Bob Chiaviello, Kirby Drake and Miriam | |
| 8 | Quinn are with us here from Fulbright. Mr. and Mrs. | |
| 9 | Fenner are here on the front row. Deborah Race and | |
| 10 | Johnny Ward and Dr. Jim Olivia is here as well. | |
| 11 | We're ready to proceed, Your Honor. | 09:03:17 |
| 12 | THE COURT: All right. For the | 09:03:20 |
| 13 | defendants. | |
| 14 | MR. YARBROUGH: Good morning, Your | 09:03:22 |
| 15 | Honor, Trey Yarbrough on behalf of Netgear and D-Link. | |
| 16 | I have with me this morning four attorneys, Your | |
| 17 | Honor, from Finnegan Henderson, Bob Yoches and Chris | |
| 18 | Schultz at the counsel table. And to my right in the | |
| 19 | middle, Steve Morrissey, and Aaron Capron sitting | |
| 20 | with Mr. Boxer, the graphics man. And we're ready to | |
| 21 | proceed. Also Mr. Wilcox is here on behalf of | |
| 22 | Extreme. The attorneys from Finnegan Henderson | |
| 23 | represent D-Link, Netgear and Extreme. | |
| 24 | MR. CORNELIUS: Good morning, Your | 09:03:56 |
| 25 | Honor, Bill Cornelius along with Jeff Lindgren from | |

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1 Vasquez Benisek & Lindgren, on behalf of defendant,
 2 Enterasys Networks. We're ready to proceed.

3 THE COURT: All right. Anyone else? 09:04:11

4 Okay. All right. Well, good morning. 09:04:14

5 We're here for, of course, a Markman hearing. I'll
 6 just make -- of course, I'll approach this the way I
 7 typically do where I lead to the plaintiff, I suppose
 8 how they would like to proceed the order of terms, and
 9 we'll go back and forth on a particular term until we
 10 completed that one and then move on to the next one.

11 I do know the parties have requested four 09:04:41
 12 hours per side -- or two hours per side, four total.
 13 Of course, you know, my goal would be for us to be
 14 done by the noon hour so you don't have to come back,
 15 but that would put us a little less than three hours,
 16 so I realize that may not be realistic. But we'll
 17 come back after the noon hour and resume, if that's
 18 necessary.

19 So with that, let me go ahead and have the 09:05:09
 20 plaintiff begin with the first term and then I'll have
 21 the defendant respond.

22 MR. GOVETT: Your Honor, Brett Govett. 09:05:16
 23 We share your goal being done by the noon hour. We've
 24 got a PowerPoint. I know the defense has a
 25 PowerPoint. What we kind of do, as we've done in the

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1 past, do a claim walk-through, then get into the
 2 terms. I'm going to do some of the terms, Ms. Quinn
 3 is going to do some of the terms, and, of course,
 4 we'll take whatever questions the Court has.

5 THE COURT: That will be fine. 09:05:36

6 MS. QUINN: Good morning, Your Honor, 09:05:39
 7 may it please the Court. We have a short walk-through
 8 to go through to put the claims in context and moving
 9 to the terms. It should be fairly quickly, go fairly
 10 quickly.

11 If we can go to the first slide. We think 09:05:53
 12 today what we're going to show is that Fenner's
 13 construction pretty much follows back in the
 14 specification. In many of the terms that we're going
 15 to be seeing today, we contend that the construction
 16 we've worked up is going to be our position.

17 And the two patents that we're referring to 09:06:12
 18 today -- if we can move to the next slide -- the first
 19 one is the '224 patent. The claims at issue there are
 20 Claims 3, 8 and 12.

21 Next. The walk-through we're going to do 09:06:27
 22 today focuses on Claim 3, which is a method claim, and
 23 we're calling it, for purposes of this hearing, the
 24 representative Claim 3. On patent number '906, the
 25 claims at issue are 9, 10, 19 and 20. And I want to

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1 point out to the Court that this patent has a lot of
 2 history behind it, a lot of redacted patents. Two of
 3 them which we will mention today in connection with
 4 some terms are patents numbers '258 and '136, and they
 5 are listed in the front page of the patent, because
 6 those patents claim specifically associative memory.

7 And now I would like to go through -- we're 09:07:11
 8 going to go through Claim 20, as far as the
 9 representative claim. The walk-through we're going to
 10 go through first is Claim 3. And this claim focuses
 11 on a method that is used in a communication system
 12 that comprises plurality of data networks. And the
 13 purpose of these data networks is to communicate data
 14 packets, and we have illustrated that here.

15 And the first step that is listed in 09:07:42
 16 connection with this method is receiving a data
 17 packet. And that packet is received at a first one of
 18 the plurality of data networks, and this actually is
 19 being sent to a second one of the plurality of data
 20 networks.

21 The data packet includes a physical address 09:08:02
 22 for identifying a device to which data packet is to be
 23 routed.

24 And as you can see here, data packet has 09:08:14
 25 many fields, have physical address field and the

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1 logical address field.

2 And that physical address denotes the packet 09:08:25
 3 is to be sent to a physical location and logical
 4 location identifies -- the logical address identifies
 5 the sender of the data packet.

6 The packet is then examined for the first 09:08:43
 7 logical address. And then looking up in a directory
 8 table stored at the node, which is illustrated on the
 9 lower corner, the source filtering information is
 10 associated with the logical address. That's the
 11 meaning of the reading of the claim.

12 And the last step here is filtering the data 09:09:23
 13 packet in response to the source filtering
 14 information. And we have illustrated here how
 15 according to this -- in response to the source
 16 filtering information, some nodes will be protected
 17 from receiving the data packet and some nodes will be
 18 allowed to receive the data packet. And that
 19 concludes the walk-through of Claim 3.

20 I'll just quickly go through Claim 20. And 09:09:46
 21 that one is also a method, and this one is a lot more
 22 detailed and we want to look over the main highlights
 23 here.

24 We have a switching node that has at least 09:09:58
 25 three of these IEEE 802 MAC communications ports, and

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1 each port has associated with it a MAC address. And
 2 we have illustrated here by showing three ports, and
 3 each one below the port, which are the black squares
 4 in the switch, has the MAC address. We illustrated
 5 that as MAC address 1, 2 and 3.

6 Next. And the method here is a packet is 09:10:25
 7 received in one port, and we've determined that it is
 8 a first MAC address contained in a -- I can't see that
 9 very well from here -- it should say MAC source
 10 address field of the data packet has a stored
 11 association with one of the at least three
 12 communication ports.

13 If we can click on that. We see a first MAC 09:10:49
 14 address. We looked and there is a stored association.

15 Next. And if there isn't, then there is an 09:10:55
 16 association made associating the first MAC address
 17 with the one of the at least three communications
 18 ports from where that packet is received. Here is
 19 shown as port 2 is then associated -- click again --
 20 with the first MAC address.

21 Next. Then if the second MAC address 09:11:14
 22 contained in the MAC destination address field of the
 23 packet, then it has a stored association with one of
 24 the at least three communication ports as shown here.

25 Click, please. Again. It's showing there 09:11:31

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1 that there was already an association made prior and
 2 now we're looking at the second MAC address associated
 3 with the port we've selected here for illustration
 4 purposes, port 3.

5 Next. Causing the received packet to be 09:11:47
 6 forwarded to that one communication port that had the
 7 association. If we can click. We're showing this
 8 here with the packet going to the port that had the
 9 association.

10 What this -- this clause here also has an 09:12:07
 11 "unless," so if the packet calls to be sent to the
 12 port unless there is a stored protection record
 13 indicating that the packet should not go to that port.

14 If we could click, please. We've 09:12:22
 15 illustrated this in this matter where the source MAC
 16 address is protected from going out of that port.

17 And so if the second MAC address contained 09:12:33
 18 in the received packet does not have a stored
 19 association -- click, please. Again. So this is
 20 empty here. Next -- there is no association. Then --
 21 next -- the packet will be forwarded -- next,
 22 please -- to all of the other ports in that switch --
 23 next -- except the port from which the packet came
 24 from.

25 And that is what we believe shows at a high 09:13:06

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1 level the main elements of these claims. And we hope
2 that that was useful. And the first term that we
3 wanted to take on at this time is the "logical
4 address" term.

5 THE COURT: All right. 09:13:23

6 MS. QUINN: And Mr. Govett will 09:13:26
7 be doing that.

8 THE COURT: Go ahead. 09:13:28

9 MR. GOVETT: Your Honor, the "logical" 09:13:34
10 address" terms are found in Claim 3 -- if we can have
11 the next slide -- Claim 3 of the '224 patent. We've
12 highlighted them here. I won't read them to the Court
13 because I know you're very familiar with this. But
14 the reason I've got them highlighted here is I'm going
15 to point out some things. I'm going to address the
16 logical address, the source address and the
17 destination address terms. And there's differences
18 between them, and so there's differences that are
19 found in the other claims.

20 We did propose to the Court an alternate 09:14:02
21 construction in the briefing that we filed on Monday
22 that we believe moves closer to what the defense has
23 proposed. I'm going to talk about that. I'm going to
24 go through what I believe is some pretty compelling
25 evidence from the patent specification as to why that

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1 construction, if the Court is not willing to adopt our
2 initial construction, is thoroughly supported.

3 If we'll go to slide 24, we've got just a 09:14:26
4 split of our original construction and the defense
5 construction. And down here at the bottom we show
6 where the logical address is found within the data
7 packet.

8 Slide 25 is the discussion about the logical 09:14:44
9 address versus the physical address. The logical, of
10 course, is assigned by a network. We'll talk about
11 that in a minute. The physical is assigned basically
12 by the manufacturer of the equipment. It's burned
13 into the equipment at the time of manufacture.

14 And we cited column 11, lines 1 through 4. 09:14:59
15 This is a very important part of the specification.
16 In the present system, the physical address structure
17 is removed from the design and operation of the
18 internet routing by treating the message addresses as
19 a symbol string without predetermined internal
20 structure and processing them as if they are a unique
21 identification code representing the host.

22 I'm going to talk more about this in a 09:15:24
23 minute. The cube of the patent and the real trick
24 here and a big part of the novelty that's disclosed --
25 there's a lot of novelty disclosed, but a big part of

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1 the novelty that's disclosed, I'm going to talk a lot
2 about this this morning, is how it's treated. It's
3 not that it does not have structure, it's how the
4 structure is treated. And this is discussed right
5 here.

6 We don't pay much attention to the 09:15:50
7 structure. The address can have structure, but it's
8 how we treat it and how we process it. And I'm going
9 to get to that in a minute.

10 Slide 26, again, I'm just going through, if 09:16:00
11 you can go ahead and bring up the whole thing. The
12 internet address is automatically assigned by the
13 network or the user. That's why it's the logical
14 address. Physical address is associated with the
15 device and it's assigned by the manufacturer. We've
16 spoken about that.

17 We've got the next couple slides, Figure 1. 09:16:21
18 Go ahead. Next click we show there's the node,
19 there's the packet moving to the node. The patent
20 tells you that this is the -- Figure 1 has been
21 modified vis-a-vis of the invention. If you can click
22 one more time. Go ahead. This is -- the MAC address
23 is the physical address, the IP addresses are logical
24 addresses. It's very clear from the drawings that are
25 found in the patent, so that's why the original

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1 construction stated the examples of IP addresses are
2 logical addresses. Logical addresses are not limited
3 to IP addresses, that's just one example of them.

4 If you'll look at slide 29. This is just 09:17:09
5 support from the specification. There's a lot of
6 briefing and discussion about needed some changes and
7 so forth with respect to the logical address. But
8 there's a lot of discussion in the specification where
9 the logical address structure is discussed without
10 those limitations. And we've cited them up here on
11 the screen at columns 11, lines 1 through 4, lines 12
12 through 18, and column 15, lines 51 through 57.

13 So where does that leave us? Next slide, 09:17:40
14 slide 30. The term is broader, logical address is
15 broader than a network identification code or
16 identifier. It identifies a sender or a receiver in
17 the network. It's part of the data packet header, as
18 we've shown. And it's different than a physical
19 address.

20 Next slide, 31. The defense, they want to 09:18:02
21 rely on the '480 prosecution history. That was
22 different claims, different embodiments of the
23 disclosure that are claimed. The '224 does not compel
24 the same construction. I'm going to get to that in a
25 minute with respect to alternative construction.

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1 Feature of mobility does not limit the claims to the
2 embodiments which are accomplishing it. There is
3 broader claims that are not related to the mobility.

4 Now, let's look at slide 32. Slide 32, Your 09:18:29
5 Honor, shows the distinction between what we propose
6 is an alternative. As the Court can see, we move
7 closer to what they've proposed, not all the way,
8 because we don't believe all the way is appropriate.
9 This construction that they've taken here on the
10 right-hand side is verbatim word-for-word, comma for
11 comma, out of the Court's claim construction with
12 respect to the '67 patent of the IP address in the
13 first Fenner case.

14 The logical address, as I've said already, 09:19:04
15 is broader than the IP address. This is not an
16 appropriate construction. The unchanging is not
17 supported with respect to IP address. It's in the
18 internal structure, which I'm going to spend a good
19 bit of time on, is just overwhelmingly not supported.

20 I've got this up here on the screen. If we 09:19:23
21 can go to the next slide 33. This is another quote
22 from the patent specification about the internal
23 structure. In the present system, the physical
24 address structure is removed from the design and
25 operation of the internet routing by treating. Very

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1 important how it's treated. By treating the message
2 addresses as a symbol string without predetermined
3 internal structure.

4 Nowhere in the specification does it say 09:19:50
5 there's no structure or you can have no structure. It
6 talks about how it's treated and processing them as if
7 they're a unique identification code representing the
8 host. That's at column 11, lines 4 through 9.

9 In fact, if you look at the next slide, 09:20:06
10 slide 34, column 16, lines 44 through 50, the proposed
11 routing table directory structure 130 needs only to
12 know the length and values of the address octets to
13 locate a unique table entry for that address. This
14 novel directory access technique does not rely on any
15 known structure of the address field other than
16 knowing that it is a sequence containing a known
17 number of symbols.

18 So again, that's more support for the fact 09:20:37
19 that it can have structure, so therefore saying --
20 arguing for a claim construction that says it has no
21 internal structure is incorrect. Because it says
22 right here, it doesn't -- you can have known
23 structure, but you just don't rely on it. I'm going
24 to talk, again, more about that in a minute.

25 If we look at the next slide, slides 35. 09:21:01

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1 This is from the '224 -- go ahead and bring it all up
2 -- from the '224 patent file history. One benefit of
3 filtering -- and we're talking about source filtering
4 here using the logical address -- based on the
5 logically -- that should be logical address of the
6 source is that it is device independent. It doesn't
7 matter where it is located.

8 The next. Thus, source filtering may take 09:21:26
9 place in the internet environment, in which physical
10 address of the source was stripped from a packet by an
11 intermediary node, or with a mobile source which may
12 access data networks through different network media,
13 and thus may not be assigned the same physical during
14 each access.

15 The '224 file history is found in 09:21:48
16 Defendant's Exhibit F, this is pages 7 through 8.

17 So this invention, this claimed invention 09:21:53
18 here works in the mobile environment, mobile IP
19 environment, as well as the nonmobile IP environment.

20 And if we looked at the number of pages in 09:22:03
21 the briefing arguing the '480 patent file history,
22 that's at Defendant's Exhibit G, pages 24 through 25,
23 but if you look at, Your Honor, the complete file
24 history at those pages, and some of it is cited, and
25 they rely on that in the Court's earlier Markman

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1 decision construing a different term of a different
2 patent. Although it's a related patent, yes, but it's
3 a different term of a different patent.

4 And at page 23 -- actually if you look at 09:22:36
5 page 22 of this Exhibit G, we don't have it up here,
6 but I'll hand it to you in a minute, it talks about
7 the prior art on which the rejection is based. And it
8 goes through and it talks about hierachal addressing
9 and it talks about a phone number. And this was cited
10 by you in the initial construction of IP address,
11 different patent, different claim.

12 But when we get to page 24 right here, page 09:23:01
13 24 states: There are significant differences between
14 the prior art and the claimed invention. A message in
15 applicant system is routed solely in accordance with
16 unique, fixed and unchanging codes. And then it cites
17 to Claim 1, lines 26 through 30, of the '480 patent.

18 The '480 patent, Your Honor, did have claims 09:23:26
19 which specifically claim the first -- Claim 1, first
20 claim limitation is to a fixed -- a first unique,
21 fixed and unchangeable code. The second claim
22 limitation is to a second unique, fixed and
23 unchangeable code.

24 But I want to go back to the file history 09:23:49
25 that's related to this patent. In accordance with the

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1 unique fixed and unchanging codes that identify the
2 source and the receiver wherever the receiver is
3 located in a system of interconnected networks, it is
4 non-hierarchal. Now here's the key, this means that
5 when a receiver in applicant's system both
6 interconnected networks moves from communication with
7 a data network in Texas to a data network in Japan,
8 it's number, address or identification code, open
9 paren, or whatever name you want to call it, close
10 paren, remains the same.

11 Applicant's invention, as defined in Claims 09:24:32
12 1 through 9, again related to '480, stores the
13 non-location-specific address or code of the receiver,
14 along with the connecting node to which to send a data
15 message for eventual routing to its destination.

16 Now, I want to stop a minute on the Texas to 09:24:45
17 Japan. It's number, address or identification code
18 remains the same. That's the phone number, Your
19 Honor, that we had just talked about in the '480
20 specification. And I'll hand these up to you.

21 If I might approach? 09:25:03

22 THE COURT: Okay. 09:25:05

23 MR. GOVETT: This is from Exhibit G. 09:25:06
24 And it's pages 22, 23, 24 and 25.

25 I've marked the number part there, because 09:25:14

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1 the number part is the key. It's like when you go to
2 Home Depot and -- or Lowe's or wherever, a sporting
3 goods store, and they say, "What's your number," and
4 you give them the number, you know, (903)567-8282, and
5 they say, "Oh, okay, Mr. Govett, thank you, we see
6 that you were in here two weeks ago or what-have-you,"
7 it's an identifier.

8 Now, does that number, (903)567-8282, have 09:25:42
9 structure? It might. To some people it might. But
10 is Home Depot or Academy or Lowe's or Target or
11 whoever using that structure? No, of course not,
12 absolutely not. They're not using that structure.

13 And that's why, when you look at everything, 09:26:04
14 and I'm going to get to more cites in the
15 specification here, to say that it has no internal
16 structure is an error.

17 And we put, Your Honor, in the booklets, the 09:26:13
18 presentation booklets that we passed out, these two
19 pages right here, '224 patent excerpts. And the
20 reason that we put these two pages in there, the '224
21 patent excerpts, is because this is the compelling
22 evidence that I was talking about where you shouldn't
23 come into court and argue the issue of structure,
24 because structure is not the issue. It's in the front
25 pocket, Your Honor. You found it. Okay.

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1 Column 4, lines 59 through 61: The system 09:26:50
 2 improves over the prior art by using a message format
 3 that is structure independent of the location of the
 4 destination of the message receiver.

5 Not there's no structure, it's structure 09:27:01
 6 independent because of how it's treated, how it's
 7 processed.

8 Column 5, lines 30 through 39: The present 09:27:07
 9 invention provides a very fast, automatically
 10 expandable, source filtered -- that's the '224
 11 patent -- internet routing scheme totally independent
 12 of the internal logical or physical structure of the
 13 network addresses in the message format that it is
 14 routing.

15 Right there it's telling you, hey, it's got 09:27:28
 16 structure, but what we're doing to it is independent
 17 of the structure.

18 Column 6, lines 20 through 36: Thus the 09:27:36
 19 present invention relates to a system for routing a
 20 message between a source and a destination and which
 21 utilizes a message format that is
 22 structure-independent of the location of the message
 23 destination, said system comprising at least a first
 24 signal transceiver device having only a first fixed
 25 unique identification code wherever the transceiver

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1 device may be located.

2 Again, the unchanging comes from the '480 09:28:02
3 patent, and there's only one citation in the spec of
4 the '224 and the '480, I believe it's at column 2,
5 where it talks about fixed, unique and unchanging.
6 And then it goes on to claim that in the '480 patent.

7 And there's, in fact, a dependent claim, I 09:28:20
8 think it's dependent Claim 11, I'm going to talk a
9 little bit more about in a minute, that does change
10 the claims of fixed, unique and unchanging with
11 respect to the Claim 8. And it's talking about the
12 source address. Nowhere is the logical address
13 discussed in terms of being unchanging.

14 But if you look down at the bottom of the 09:28:43
15 first page here, it talks about a message format
16 containing only the first and second transceiver fixed
17 unique identification codes and addresses of routing
18 nodes with a message format that is
19 structure-independent of any transceiver location
20 code.

21 Moving to the next page, column 7, lines 2 09:28:58
22 through 5: When modified by the present invention,
23 the system of Figure 1 -- that's the drawing that I
24 had on the board a little bit earlier with the planes
25 and ships -- enables a message routing system using a

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1 message format having an internal logical or physical
2 structure that is totally independent of the message
3 receiving host location address.

4 Column 11, we had this on the board a little 09:29:24
5 bit earlier, I won't read it into the record again.
6 But again, it's the physical address structure is
7 removed by treating it as a symbol string without
8 internal structure and processing them as if they're a
9 unique code, just like when you go to Home Depot, give
10 them your phone number.

11 Column 21, there hasn't been a lot of 09:29:48
12 briefing on column 21 and we haven't focused on it in
13 the slide presentation, but it's very, very
14 compelling, column 21, lines 20 through 40. It's a
15 whole paragraph that we've block quoted here. These
16 are all taken straight out of the spec, by the way.
17 We didn't retype them, we just cut and paste them in
18 here.

19 Column 21, lines 20 through 40: Thus there 09:30:09
20 has been disclosed a data communication system which
21 uses a routing table access method that treats network
22 addresses as variable length symbol strings without
23 internal structure; i.e., as flat addresses, to
24 simplify the handling of mobile end-systems
25 simultaneously connected to multiple access points.

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1 I'm going to skip the next sentence. 09:30:33

2 The technique -- and this is important 09:30:35

3 because this ties into the telephone number issue.

4 The technique is also applicable to real-time database

5 applications such as a network name service which

6 relates to a logical name, alphanumeric name, to its

7 universal identification code.

8 For example, an automatic telephone 09:30:54

9 directory service could use this system to enable

10 entry of a particular name and receive the telephone

11 number of that name. Thus, the novel system allows

12 one entity having a universal identification number;

13 i.e., the phone number that it just discussed in the

14 preceding sentence, to communicate with any other

15 entity in the system having a universal identification

16 number but whose physical location is unknown.

17 Because the internet router system is based on flat

18 logical address space, it provides efficient routing

19 of both multicast and unicast packets independent of

20 the internal network address format or structure.

21 Again, independent of the structure.

22 So we've cited to Your Honor at least six, 09:31:40

23 and I believe there's one more in the slides, plus the

24 file history from the '480 which completely support

25 with respect to logical address our proposed alternate

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1 claim construction which removes the unchanging and
2 removes the part about no internal structure so on and
3 so forth. It's very compelling.

4 And the reason the last quote that I've 09:32:06
5 included on page 2 there is important is because where
6 it states "thus there has been disclosed," at the end
7 of column 40, Your Honor, the end of column 40 on the
8 '224 patent is the end of the original disclosure.
9 And that's all we're dealing with here.

10 When you get to -- I'm sorry, it's at column 09:32:36
11 21.

12 When you get to the bottom of the next 09:32:50
13 paragraph, and it says referring now to Figure 5
14 through 9 at the column 21 of the '224 patent, that's
15 the prosecution in part. That's the new matter that
16 came in. Everything that we're talking about today
17 we're not going to go beyond column 21, line 40,
18 because all the claims that we're dealing with have a
19 priority date of the 1989 filing of the original
20 specification of the '480.

21 And I've got a copy of the '480 patent here, 09:33:24
22 Your Honor, that I would like to hand up in just a
23 minute, that supports what I'm saying. If I could
24 just pass it up right now. And it's also at column 21
25 and column 22. This is the '480 patent. You can see

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1 at the bottom of column 21 the same language. It's a
2 little bit different, but you see where it ends. The
3 '480 ends after the further reversible arithmetic code
4 compression right there. So everything that we're
5 talking about is going to be found in the original
6 disclosure which cuts off just above referring now to
7 Figs 5 through 9.

8 So we believe the alternative claim 09:34:04
9 construction for logical address is fully supported.
10 And when we get to the source address and the
11 destination address, I'm going to talk a little bit
12 more about those in terms of why claim and ordinary
13 meaning is appropriate and stop with that.

14 Do you have any questions, Your Honor? 09:34:20

15 THE COURT: No, not any right now. 09:34:22

16 MR. GOVETT: Thank you. 09:34:25

17 THE COURT: Response? And if 09:34:26
18 defendants would like to provide an overview as the
19 plaintiffs did, that would be fine. If you want to go
20 straight into the terms, that would be fine as well.

21 MR. YOCHE: Thank you, Your Honor. My 09:34:56
22 name is Bob Yoches.

23 What I would like to do is, again, begin 09:35:01
24 with an overview, but I guess ask you to bear with me
25 a little bit. Our organization is slightly different,

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1 but I can adapt to how it's been presented here.

2 But what I noticed about the plaintiff's
3 presentation is that there are some fundamental issues
4 here that need to be resolved.

5 In the briefing, Mr. Fenner would have you
6 believe that he came up with the invention that
7 provided for the internet and the reason for the
8 popularity of the internet as we saw a key problem.

9 But the truth is, he's all but abandoned
10 that invention. When you listened earlier to the
11 explanation of the claims, both in the '224 and the
12 '906 patent, what struck me was the fact that they
13 didn't have any figures from the patent. They're
14 trying to describe their claims by comparing them to
15 the accused device, not to their own patent.

16 So I'm going to take you back to the patent.
17 Because although his technique was addressed to one
18 problem and one problem only, which is how do you
19 solve the problem of mobile devices using the
20 internet, now they claim that their invention isn't
21 tied to mobile devices at all.

22 And the reason they're doing this and the
23 reason they have to do this is because the solution
24 that Mr. Fenner suggested, although it may have been
25 elegant, was never adopted, it just simply is not

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1 used.

2 And so the question, the fundamental issue 09:36:35
3 here is whether or not Mr. Fenner can, more than a
4 decade after he filed his original application,
5 redefine his invention, recast it trying to claim
6 things that he never contemplated that simply aren't
7 his invention.

8 Plaintiffs say of course they can do that 09:36:55
9 and they criticize any attempt that the defendants
10 have made to look at the patent itself by saying, oh,
11 no, no, no, you're just improperly trying to limit the
12 claims of the preferred embodiment.

13 But we say what Mr. Fenner is trying to do 09:37:09
14 is improper because the specification of the
15 prosecution history unambiguously told the world what
16 Mr. Fenner regarded as his invention and that the law
17 does not allow you to redefine your invention later on
18 if you have not disclosed that.

19 In fact, if you look at 35 U.S.C. Section 09:37:29
20 112, paragraph 2, which is the portion of the patent
21 law which tells you what the claims are about, it
22 says: The specification shall conclude with one or
23 more claims particularly pointing out and distinctly
24 claiming the subject matter the applicant regards as
25 his invention.

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1 So when we refer to the specification and 09:37:49
2 when we refer to the prosecution history, what we're
3 doing is pointing out what the applicant regarded as
4 his invention when he filed his application.

5 And indeed, the Federal Circuit in the 09:38:02
6 Phillips case, which is the case that set forth the
7 basic -- summarize, if you will, the basic principles
8 of claim construction, pointed that out. And the
9 highlighted portion indeed is a theme that we're going
10 to have throughout this presentation, which is that:
11 The construction that stays true to the claim language
12 and most naturally aligns with the patent's
13 description of the invention will be, in the end, the
14 correct construction.

15 So let's take a look then at what the 09:38:29
16 invention in this patent is. This is Figure 1. What
17 Figure 1 was talking about is you have a problem in
18 the internet, and the particular problem here is what
19 do you do with people that want to move around,
20 specifically if you're on an airplane or you're on a
21 boat. If you had a fixed address and you moved, the
22 question is how are people going to communicate with
23 you. And let me analogize this to the postal system.

24 So if I have a fixed address and I now move, 09:39:08
25 the way I can let people know what happened is I can

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1 write all of my friends and everybody and say I now
 2 have a new address. And indeed in the patent
 3 Mr. Fenner explains this, but explains what the
 4 problem is. Because especially the mobility we're
 5 talking about with these personal digital assistants
 6 and laptop computers, there would be messages going
 7 out flooding the internet telling everybody about the
 8 new addresses.

9 So he wanted to avoid solving this problem 09:39:37
 10 by changing your physical address. So what was the
 11 second solution? The second solution -- and the
 12 patent talks about this -- is to put a fixed unique
 13 and unchanging address as your logical address and
 14 then you keep that address wherever you move but you
 15 tell, if you will, the infrastructure what your new
 16 address is.

17 It's similar to when you move in the postal 09:40:07
 18 service and you send a change of address form to the
 19 post office, the post office will now reroute the
 20 address for you because it's got the new information.
 21 So that way you don't have to tell all your friends,
 22 at least initially, about your new physical address,
 23 the post office takes care of it.

24 But again, what was the problem? The 09:40:29
 25 problem was is that the data records that the post

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1 office would have to consider, in this case the
2 internet would have to keep, would be enormous. And
3 so what Mr. Fenner's invention was, is he came up with
4 a new way of compressing that address table that the
5 internet has, a new efficient way so that these
6 routers, which are in charge of making sure the
7 messages get where you're supposed to get, weren't so
8 large. And this is the arithmetic coding that's being
9 done that relates the addresses together with the
10 instructions for what to do with that address.

11 And this has an advantage we'll talk about 09:41:11
12 with regard to addressing of being reversible, which
13 is what saves -- it's not only a compression, but it's
14 reversible compression, and that saves all the space.

15 And that's what this invention was about, 09:41:24
16 that's what was disclosed, and that is all that is
17 disclosed.

18 The patent here, referring to column 10, is 09:41:30
19 explaining what that problem was, column 10, lines 55
20 through 58, and also later 62 through 67 makes it very
21 clear what problem Mr. Fenner was addressing.

22 When you're moving the hardware interface, 09:41:51
23 you somehow have to change where the messages are
24 going to go. And the way this was done -- next slide
25 -- is you took this address -- and you saw this

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1 picture in the presentation made by plaintiff -- and
2 in the message you see there's an address and these
3 particular fields and destination address and the
4 source address are the logical address, in other
5 words, they're the things that don't change, and you
6 process it.

7 And this is the processing that does the 09:42:21
8 arithmetic code compression, then gives you an index.
9 And that's how you got a smaller table over here and
10 that's how you find out what to do with each message,
11 is you got a compressed table here and that way this
12 system can work because this takes much less space to
13 store.

14 How does that play out with logical 09:42:44
15 addresses? And so we'll take this the way the
16 plaintiff did, we'll just talk about the logical
17 address. And indeed I must confess a little bit of
18 confusion here because I don't know which construction
19 to address, so I'm going to address the original
20 construction and then explain to you why their
21 alternative construction, which is pretty much the
22 same as ours, is a lot better but not quite good
23 enough.

24 Next slide. So this is, for example, in 09:43:25
25 Claim 3, the reference to the logical address, and

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1 your logical address in this case is something that is
2 different from the physical address, in this case it
3 identifies the sender of the packet.

4 Next slide. If we blow up that figure I 09:43:43
5 showed you before, Figure 4, you'll see that in this
6 arriving packet there is this field IP.ISO which has
7 both the destination address and the source address.
8 These are logical addresses. And those addresses have
9 a part here which tells the switches how long the
10 address is and then what that address actually is, the
11 fixed unique and unchanging address. And same thing
12 with the source address.

13 And this invention talks about dealing only 09:44:12
14 now with the logical addresses. Part of this
15 invention is it were only processing logical
16 addresses, that's how this whole thing can work.

17 Now, let me go through the intrinsic 09:44:27
18 evidence that supports our definition. And that
19 intrinsic evidence supports each one of the pieces.

20 Next slide. This is an excerpt from column 09:44:36
21 4 of the '224 patent. And notice that the patentee is
22 not talking about a preferred embodiment, it is
23 talking about the invention. And he says, he
24 announces to the public: The present invention
25 overcomes the disadvantages by a flat, as opposed to

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1 hierarchal, logical routing address space.

2 Now, what's a flat address? Well, Your 09:45:05
 3 Honor, your previous case that Mr. Govett referred to
 4 addressed what a flat address was, and it's something
 5 that has no internal structure. That's what led to
 6 that construction there.

7 On top of that they're a unique identifier. 09:45:17
 8 So this is equating the invention to what the address
 9 is. It's flat. It has nothing in there that -- it
 10 has no internal structure that suggests the network
 11 connection. In this case it's unique.

12 Next slide. And if you look at column 6, 09:45:32
 13 again I'm not going to read the entire thing to you,
 14 but it's defining the invention. It's not defining a
 15 preferred embodiment. And it says -- it uses the
 16 message what's structure-independent? The message
 17 format. It's true, as Mr. Govett pointed out, that
 18 the machines don't pay attention to the structure, but
 19 that's because the message itself has no structure.
 20 And here is a disclosure that the message format is
 21 structure-independent of the location of the
 22 destination.

23 So indeed both things are true, but the 09:46:06
 24 message has no structure. And because the message has
 25 no structure, the hardware doesn't pay attention to

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1 the structure.

2 Again, the invention, has fixed unique
3 identification codes, that's also disclosed. There's
4 a disclosure here also that it's a series of symbols.

5 So this is defining the invention. This is
6 why the original construction that plaintiffs offered
7 is wrong, because they ignore the fact that the
8 patentee, when he filed his application, was defining
9 and limiting his invention to logical addresses that
10 had certain requirements.

11 If you look at the next slide, slide 11, it
12 explains the way you overcome the disadvantages of
13 prior art is you assign a fixed, unique and unchanging
14 identification code, the exact terms that were used,
15 to both host A and host B. And those are the exact
16 terms we use. And again, a patent, as originally
17 filed, explained that the invention was in using this
18 type of address to solve the problems of the prior
19 art.

20 If you look at the next slide, column 5,
21 again, it's defining the invention; not an embodiment,
22 but the invention. And it says the internet routing
23 scheme is independent of the internal logical or
24 physical structure. It's, again, talking about the
25 scheme is what's independent of the structure and it's

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1 a string of symbols, just as our construction points
2 out.

3 And again, one more example is in column 11, 09:47:58
4 and this is the very same citation that Mr. Govett
5 talked about, but it talks about removing the physical
6 address structure. This will be important later. But
7 you're treating the addresses as a symbol string
8 without predetermined internal structure. They're
9 unique.

10 So the patent indeed does talk about the 09:48:25
11 fact that you do not treat these addresses as if they
12 have a structure, but it also explains that the
13 addresses themselves have no structure.

14 Next slide. This is from the prosecution 09:48:39
15 history of the '224. It explains that the logical
16 address is independent of the source's physical or
17 media address. So this is talking about a feature of
18 the address, not of how it's treated. It's device
19 independent.

20 Again, slide 15. The address is device 09:49:03
21 independent. Again, in the prosecution history,
22 they're talking about the address being device
23 independent. And if we go to the '480 patent, which
24 the prosecution history, next slide, which they, first
25 of all, claim that we're not able to use and then they

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1 used, a message in applicant's system is routed solely
2 in accordance with unique, fixed and unchanging codes.

3 Now, the interesting thing about the '480 09:49:36
4 patent, it is true that those claims did recite fixed,
5 unique and unchanging codes. But in the prior case
6 where you were construing IP address, for some reason,
7 according to them, it was proper to rely upon the '480
8 patent and use unique, fixed and unchanging codes,
9 even though those claims did not have IP address. But
10 in this case these claims have logical address, it's
11 improper to use what's said in the '480 patent.

12 And I would argue that the same logic which 09:50:04
13 led to interpreting IP addresses, unique, fixed and
14 unchanging codes, and that patent apply as well as in
15 this patent because, again, the applicant was defining
16 his invention as limited to this type of address.

17 Now, let me address, then, the modified or 09:50:22
18 the alternative construction, because there are two
19 areas of disagreement.

20 One of the areas is should unchanging be in 09:50:31
21 there or not? And the plaintiff has taken the
22 interesting position that it's both wrong and
23 redundant to something that's correct. And I don't
24 know logically how you would hold those two thoughts.

25 But if it's redundant, if we take the idea 09:50:45

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1 that you really don't need fixed encumbrances and
 2 unchanging, then my point would be why not include it
 3 if we're accurate, because according to the plaintiffs
 4 at least, it does no harm.

5 In my mind at least there's a slight 09:51:01
 6 difference between fixed and unchanging. And as one
 7 of my partners pointed out, my interest on my credit
 8 card is fixed, but it can change. And to be fixed
 9 more implies that it's tied to the particular address,
 10 this address is tied to a particular source and
 11 unchanging means and it does not change.

12 So the second issue is that I've already 09:51:23
 13 addressed is a question of whether or not we should
 14 define the address by a feature of the address, we
 15 should define the address by how it's used. And the
 16 reason I would suggest that it's better to define the
 17 address by a feature of the address is because the
 18 plaintiff's construction raises the following
 19 questions: The plaintiff's constructions say that the
 20 address is processed without regard to the physical
 21 location or connection, but they don't say who is
 22 doing the processing nor do they say where the
 23 processing is occurring.

24 So we're going to end up here, when we look 09:52:03
 25 at validity and we look at infringement, with adding

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1 another question of exactly what processing is
2 referred to.

3 If indeed this address, this logical address 09:52:12
4 has no internal structure, then if that's the case,
5 then obviously you can't process it using that
6 structure.

7 Now, the point they bring out, and I'll 09:52:26
8 address here and maybe put this to rest is, what if
9 you arbitrarily choose some number which in a
10 different system could have some structure. And I'll
11 be willing to say that -- I will concede that the
12 internal structure, at least that we're referring to,
13 is internal structure in the context of this system.
14 So you may want to use a telephone address as your
15 logical address.

16 But the telephone address has no internal 09:52:52
17 structure in the internet. It's a bunch of numbers in
18 the internet. And so when we refer to the address
19 having no internal structure, it means in this system.
20 It does not in this system in the internet tell you
21 where you are. In the phone system, AT&T, the
22 telephone number may tell you where you are, but in
23 the internet it doesn't tell you where you are, and
24 that may just resolve this problem.

25 THE COURT: All right. Well, let's 09:53:22

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1 move on to the next term, "source address," I think.

2 MR. GOVETT: Yes. I'll be pretty brief 09:53:31
3 in this one. There's a couple points I would like to
4 make. Let's go to slide 38, if we could, please.

5 Your Honor, we've got -- I'll just address 09:54:16
6 them both, the source address and then there's the
7 source address for logically identifying. That's the
8 next slide.

9 Our construction is very clear, very simple, 09:54:24
10 for a reason. The source address is the address of
11 origin. The language in Claim 8, this is Claim 8 of
12 the '224 patent, tells you a source address for
13 logically identifying a sender of the data packet,
14 independent of the sender's physical media address.

15 So you don't need all this reading in 09:54:46
16 additional claim limitations, additional language,
17 which is inappropriate. The reason it's inappropriate
18 is because Claim 9 depends from Claim 8 and Claim 11
19 depends from Claim 9.

20 If you look at Claim 11, Claim 11 is the 09:55:03
21 controller of Claim 9 wherein the source address
22 includes a unique, fixed and unchanging code for
23 uniquely identifying the sources to each network in
24 the plurality of networks.

25 So if you were to read what they wanted in 09:55:16

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1 Claim 9, you would have wherein the fixed, unique and
2 changing identifier that has no internal structure to
3 suggest no network connection location, and that is
4 assigned to the host sending the data packet, includes
5 a unique, fixed and unchanging code for uniquely
6 identifying. It just really makes no sense.

7 And so you don't need that. We are talking 09:55:40
8 about the source address, we're talking about source
9 filtering, and the logically identifying talks about
10 the logical part of that address. And so the claim
11 language takes care of it. You don't need to do any
12 further construction other than really the sources,
13 address of origin, if you really even want to construe
14 that. O2 Micro, of course, you don't necessarily need
15 to.

16 Slide 39, source address is construed here, 09:56:07
17 and all we did was just somewhat basically read the
18 claim language back. And that's essentially what they
19 did as well. And so it's not --

20 I can answer any questions you have, but 09:56:18
21 really that's pretty much the crux of the argument.
22 There's not a lot to argue about here. It's the
23 Court's decision.

24 THE COURT: All right. Thank you. 09:56:29

25 Response? 09:56:30

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1 MR. YOCHE'S: I can be short as well,
2 too.

3 As plaintiff pointed out, the claims explain 09:56:53
4 that both the source and destination address are
5 logical addresses, they are logically identifying --
6 the recipients are logically identifying the sender.
7 And so since they are logical addresses, we think all
8 we've done is just in the definition defined them as
9 logical addresses by putting in the same definition
10 for source and destination addresses we did for
11 logical address.

12 But narrowing it so the source address is a 09:57:34
13 logical address, the logical address indicates the
14 source and the destination address is a logical
15 address that gets the destination.

16 Mr. Govett, I thought, seemed to concede 09:57:45
17 that by saying it's in the rest of the claim here, but
18 we prefer to make it more explicit there, so we don't
19 sit there and argue later on that maybe this source
20 address is not a logical address and that is something
21 different between a logical address and logically
22 identifying that source as recipient.

23 And his other argument is essentially a 09:58:07
24 claim differentiation argument that I think we've
25 dealt with in the papers very well. But the simple

1 fact of the matter is, there's two things to remember
2 about claim differentiation: One is you can't use
3 claim differentiation to broaden a claim term beyond
4 what it is supposed to be. Since the source address
5 can't be broader than a logical address, the fact that
6 there are some attributes of a logical address that
7 are in Claim 11 doesn't allow you to expand the scope
8 of source address beyond what's disclosed and what
9 they have to the claim.

10 The second thing is, claim differentiation 09:58:45
11 only applies if the only difference between a
12 dependent claim and a claim from which it depends is
13 the limitation of issue here. And there's a lot of
14 differentiations between Claim 11 and Claim 8,
15 including all the stuff in the intermediate Claim 9.

16 So just the whole idea of claim 09:59:05
17 differentiation just doesn't apply in this case.

18 THE COURT: All right. Thank you. 09:59:12

19 MR. GOVETT: Similar arguments, Your 09:59:14
20 Honor, if I can move ahead to destination address.
21 It's really the same, just with destination. Of
22 course, our proposed construction is the address where
23 something is sent that logically identifies a
24 recipient of the data packet. That's what the claim
25 says. And the source address we had the logical

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1 identifier in there as well.

2 Really you don't need to construe this, Your 09:59:39
 3 Honor, it is using the logical address there. I think
 4 the claim is very clear there. I never believed there
 5 was a dispute on that.

6 The destination address, of course you've 09:59:47
 7 got the source, where it's coming from, the
 8 destination where it's going to. That's basically
 9 what we went in and did. And I think all this other
 10 language that they're attempting to add really
 11 confuses the issue in light of the claim language,
 12 because the claim is very specific as to what's going
 13 on. So that's it.

14 THE COURT: All right. Any response? 10:00:07

15 MR. YOCHE: No. 10:00:10

16 THE COURT: Okay. Mr. Govett. 10:00:11

17 MR. GOVETT: Yes, Your Honor, Ms. Quinn 10:00:13
 18 is going to take it over from here and take the next
 19 term, which is the "looking up in a directory."

20 THE COURT: Okay. 10:00:19

21 MS. QUINN: Yes. The next term is the 10:00:22
 22 looking up in a directory table stored at the node,
 23 source filtering information associated with the first
 24 logical address.

25 And if you can go to slide 41, it shows 10:00:35

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1 there what we're talking about.

2 This term appears in method Claim 3 and it's 10:00:40
3 the only claim in which this phrase appears. And what
4 we're arguing here is there are three major decisions.
5 We think that the looking up verb, it's looking up,
6 it's a phrase used in layman's terms for determining
7 how to look up in a database.

8 The defendants are attempting to add to this 10:01:05
9 looking up step additional steps of retrieving and
10 additional structures and also additional steps of
11 compressing, arithmetic compressing as distinct from
12 hashing.

13 Another limitation they're trying to add in 10:01:22
14 is adding structures that are not called for like
15 source filtering information contained in a record
16 identified by a unique value.

17 None of these limitations are cited or 10:01:35
18 called for by the method claim itself, there should
19 not be anymore structure added to it. The parties
20 agree on what the source filtering information is.
21 And that in the chart that we submitted to the Court
22 is term number 2, and that construction agreed to is
23 information used to determine whether to filter a
24 packet base on the packet's source address. There's
25 no need to add how the source address is formed and

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1 | notions of a record that is identified by compressing.

If we can go to the next slide. Here we have some summaries of why we believe this. The specification is clear. It talks generally about storing information and tables. This is a database organization issue. The method claim does not go into how those tables are organized or how the records are created, it's just basically looking up that information.

The claim does not require any records. In fact, records are limitations in dependent claims, and we're going to see that in minute. And further, they want to include into this fairly basic term the notion of arithmetic compression and coding. Well, arithmetic coding and compression in the patent is described in many different ways and there are many embodiments as to how the indexes and things going to these tables can be created and they tried to limit it to a very specific way of coding by arithmetic compression. And we believe that's improper because they are dependent claims, also, that go into much more detail as to how these records are created.

23 So we don't believe this term should be
24 styled with notions of retrieving records, compression
25 or arithmetic compression or unique identified values.

1 And one of the things they keep saying is 10:03:44
2 that this patent somehow makes it clear that this is
3 absolutely necessary. And we don't believe the patent
4 is -- requires that.

5 One thing that we want to point out to the 10:04:03
6 Court is that the main patent here, the parent of all
7 these patents is the '480 patent, that patent
8 described in general the embodiment, the mobile users
9 moving from node to node and needing this unique ID,
10 and then the children of this main patent claim
11 additional inventive concepts that were described in
12 very general terms in the '480 patent.

13 That meaning that not every description in 10:04:35
14 these patents, because they all have a common
15 description, all these patents describe the same
16 invention in the same terms is something, you know,
17 that is done in prosecution of patents which is one
18 main description. You can have many inventions
19 describing one patent.

20 And what they're trying to do in these two 10:04:56
21 patents, the '224 and the '906, is to put in
22 limitations into these claims that were claimed in
23 other patents.

24 And one example of that is, as I mentioned 10:05:05
25 in the beginning, that there are two patents in this

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1 file history of the family of the '480 patent; the
2 '136 patent is expressly claiming arithmetic
3 compression. And the '258 patent is also expressly
4 claiming specific aspects of arithmetic compression
5 and create these records.

6 So none of these particular ways of creating 10:05:31
7 these records should be in this patent. This patent
8 is really directed to source filtering, the '224
9 patent, source filtering based on the source address,
10 the logical address.

11 If we can move to slide 43. And I was 10:05:47
12 referring to, also, how the claims in this patent are
13 sort of organized. We're looking at the limitation of
14 looking up is in Claim 3, an independent claim. And
15 Claims 4 and 5 depend off of Claim 3. And these go
16 more into -- these claim more detail into what is
17 looking up.

18 And looking up is further defined as also 10:06:16
19 looking up index values for determined arithmetic
20 operations on those values and then accessing a record
21 for retrieving the source filtering information.

22 So these are limitations that are already 10:06:32
23 claimed as dependent limitations, so it's not proper
24 to put them in as limitations in the independent
25 claim.

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1 And if we look at what does it mean to look 10:06:51
2 up, the patent does describe looking up things in
3 tables using the address as a key. And for example,
4 column 23, lines 9 through 12 in the '906 patent talks
5 about looking up and accessing records. There's no
6 mention of retrieving. So looking up is a fairly
7 common term in database operation and does not require
8 any additional operations of retrieving.

9 Additionally, there are embodiments that the 10:07:24
10 defendants are trying to say, well, they have
11 compression. We don't believe they do. Embodiments,
12 Figures 1 through 3, don't refer to compression as an
13 absolute necessary for preparing the tables that store
14 the information. And those embodiments, in Figures 2
15 and 3 specifically, the tables are created by
16 combining. Other embodiments after Figure 4 and 5
17 talk about compression and those are specific
18 embodiments that go into the very details in how to
19 create tables.

20 And as I said, Your Honor, other patents are 10:08:06
21 directed more to claims on those and the '224 patent
22 is general and broad covering source filtering
23 information.

24 And that's all I have unless you have any 10:08:16
25 questions.

THE COURT: Okay. No, thank you.

2 Response.

3 MR. YOCHEΣ: We have a little bit of a 10:08:49
4 disconnect here, because we actually think that the
5 terms at issue which are various forms of the word
6 "associate" are really in several terms here. I'm
7 going to address them all, which includes the terms
8 that plaintiff referred to because it's the same
9 argument.

10 As an outset, there's not a dispute on
11 whether looking up has to be equal to retrieving or
12 not. We thought retrieving was clear. It doesn't
13 make a bit of difference whether you call it looking
14 up or retrieving. We don't see a difference. So if
15 that is a problem, we will just get rid of that and
16 just focus on the issue of what it means to associate.

17 And what we're talking about in this
18 particular issue of associate is associating the
19 addresses, whether it's the source logical address or
20 the destination logical address, with the instructions
21 of what to do with that address.

22 So as plaintiff pointed out in their initial 10:09:51
23 discussion, there is a big switch there. The switch
24 receives messages that have an address. And you need
25 to find out, based on that address, what to do. Do I

1 send it out on this port, do I send it out on this
2 port? And that's called source filtering information
3 or destination filtering information.

4 The important thing to remember -- next 10:10:15
5 slide -- is that the patent only talks about one way
6 of doing this. It is, I believe, incorrect, nor did I
7 hear any citations in the record which suggest that
8 there is a way to do this association other than with
9 arithmetic code compression. It may well mean that
10 there are different ways of doing a code compression,
11 I don't have a problem with that. Indeed it's hard to
12 claim that we're limiting, by our definition, the
13 claims to a specific embodiment when they themselves
14 agree that the term "arithmetic code compression"
15 covers several different embodiments.

16 So we don't mean to say a specific type of 10:10:52
17 code compression is what's limited, what's meant by
18 the term "associated," it can be any one of those that
19 are in the patent.

20 But we do believe that the patent very 10:11:02
21 clearly requires the intrinsic evidence that this
22 association, the relationship between the addresses
23 coming in and the table in which the directions are
24 stored has to be something very specific, because,
25 again, that's what this inventor told the public, told

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1 the Patent Office his invention was.

2 And I'll back up just a bit before I get 10:11:25
3 there. And the important thing about this code
4 compression was it was reversible. And the reason
5 that was important is because that saves space. In
6 other words, you could compress this address into a
7 smaller size, you could then -- when you were using
8 it, you could then expand it to use it as an index
9 under the table, but you didn't have to store the
10 expanded address, you could store the smaller
11 compressed address.

12 So it was reversible in the sense that even 10:11:58
13 after you compressed it you could get the original
14 address back.

15 And that if you look on column 21, lines 41 10:12:04
16 through 46 of the patent, as explained in one of the
17 detail.

18 Now, let me actually mention something here, 10:12:11
19 which is key. It was something I forgot to mention
20 earlier but it applies here as well. There is some
21 sort of a belief that we don't have to look at part of
22 the '224 patent because they're only referring to
23 claims -- or columns 1 through 20 and that we could
24 ignore the later columns because those were added in
25 the continuation part. There's no authority for doing

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1 that. The patent that they're relying upon concludes
2 both the original information and the later
3 information and cannot, after a patent issues, tell
4 the public they could ignore half of that patent. So
5 let's look at the entire patent, all the columns of
6 the patent.

7 The second issue is, let's take a look at 10:12:54
8 what was done in the continuation part. Because the
9 representation was made that when they filed the
10 continuation part that what was originally the
11 invention back in the 1980s is now not the invention.
12 But they didn't change the patent to explain that.
13 They're doing it now in 2009.

14 But I'm going to take you through the 10:13:16
15 representations that were made about the invention
16 that were made in the original patent and were kept.
17 And if they were indeed intending to change what the
18 invention was, then they should have changed the
19 representations to what the invention was in the
20 specification.

21 So the issue here is what do we mean by the 10:13:35
22 term "associated"? Well, you've got your source, a
23 table with stored information here, that has filtering
24 information associated with the logical address.

25 Well, the patent explains that there are 10:13:53

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1 other ways of doing that. There is traditional ways
2 of doing that. You could have a sorted table which
3 means like your telephone book that's table sorted
4 alphabetically. You could have a tree structure which
5 means that kinda of finding something in a family
6 tree, you go in through the ancestor and then you go
7 down and find them.

8 Something called hashing. Hashing is an 10:14:14
9 interesting thing here. But you have compression.
10 For example, Your Honor, if you wanted to take the
11 people in the work for the Eastern District of Texas
12 and organize them by telephone numbers and the
13 question is how do I do this because there's a
14 ten-digit telephone number and that would mean I would
15 have this enormous table, you could take a form of
16 hashing. One idea of hashing is, well, let's just pay
17 attention to the last four numbers. What that means
18 is you may have duplicates. So you're going to have a
19 table with some duplicates, but instead of looking
20 through a hundred people you may only have to go
21 through two or three, that's another way of
22 compression.

23 The problem with hashing, next slide, that's 10:14:53
24 mentioned is that it's inefficient. As the inventor
25 pointed out, the problem with hashing is that it

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1 requires about 40 percent more space than you really
2 need to.

3 And so he came up with his idea, which is 10:15:11
4 you not only do the compression but you do it
5 reversible. So again, I can save space, because when
6 I have to use it I'll go back, I'll unfold it, go back
7 to the original sides.

8 And again, next slide, when you take a look 10:15:27
9 at what this patent says, it says the present
10 invention employs a reversible arithmetic code
11 compression technique to reduce the logical network
12 address. Again, defining the invention, not just an
13 embodiment.

14 Next slide. Another aspect of the 10:15:47
15 invention, and again it talks about you're
16 implementing the routing table using arithmetic
17 coding, again defining the invention.

18 Next slide. And then when you go to the 10:15:58
19 explanation at column 11 which explains how can we
20 implement this invention with logical addresses, the
21 inventor explains the approach is made possible by
22 employing an arithmetic code compression technique.

23 So he has equated his invention, in other 10:16:18
24 words, the sine qua non of his invention is being able
25 to use this arithmetic code compression technique that

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1 saves all this space. They don't deny that.

2 THE COURT: Okay. Let me stop you
10:16:29
3 there. Okay. Give me just a quick sketch of this
4 patent family. It starts out with what?

5 MR. YOCHE: It's a very complicated
10:16:47
6 family. What happened was there was an original
7 application filed, I think 19 --

8 THE COURT: You don't have to give me
10:16:58
9 the whole thing, but, you know, the main patent family
10 structure you're talking about here, kind of what does
11 it start at?

12 MR. YOCHE: It's a more complicated
10:17:12
13 question than I think you realize. If you look at the
14 face of the '224 patent, which I think you have up
15 there --

16 THE COURT: The fact that I've stumped
10:17:27
17 you with that question shows you what I'm up against.

18 MR. YOCHE: I'm going to answer your
10:17:33
19 question and then suggest to you why I'm not sure it
20 matters.

21 But essentially the patent which we call the
10:17:37
22 '480 patent was the first one that issued and that was
23 filed in 1989, there was then another application
24 filed that was a continuation in part meaning some
25 stuff was added. And the '224 is what issued off of

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1 that one. So that's one branch.

2 There were then a number of other
3 applications that are continuations and continuations
4 in part, if you will, on a different branch of the
5 family tree that ultimately issues the '906 patent.

6 THE COURT: What I get the general, I 10:18:11
7 guess, thrust of the plaintiff's argument related to
8 this patent family is, there was the '480 parent
9 application filed. It disclosed whatever it
10 disclosed. And then as I think Ms. Quinn said
11 earlier, then there were various inventive concept
12 variations off that over the years. And this has to
13 do -- what we're talking about the '224 patent deals
14 with source filter.

15 And I'm -- it seems to me what you're saying 10:18:45
16 is, is that I don't understand what -- how we arrived
17 at the '224. It's like you keep coming back to, well,
18 the present invention is this, this is all they
19 invented, this is all the plaintiff invented, it's
20 arithmetic coding, period.

21 And my question is, what -- what is all this 10:19:07
22 patent family dealing with? What are the inventive
23 concepts? There's got to be something here.

24 MR. YOCHE'S: I understand your 10:19:18
25 question, so let me address that.

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1 Source filtering was in the original 10:19:20
2 application. The additional stuff that was added
3 didn't change source filtering. So the source
4 filtering we're talking about was in the original
5 application. There was some stuff added. It was
6 other ways of doing arithmetic coding.

7 So we're not -- the claim that we're talking 10:19:35
8 about encompasses those other ways. They are
9 variations on a theme. So we're not -- on source
10 filtering, the specific thing on this patent, that's
11 in the original application.

12 And what you do, just to explain it, is if 10:19:49
13 you want to make a continuation in part and change
14 your invention, then you go back and you change these
15 statements saying what your invention is. But they
16 didn't, indicating that it's still the same basic
17 invention.

18 But here's some more details, the equivalent 10:20:04
19 would be this: If I had invented -- and maybe make it
20 more specific. If the people that were at Apple had
21 gotten a patent on their original computer, their
22 personal computer, laptop computer or personal
23 computer, and they later made modifications of that
24 computer, they made it smaller, made it faster, but
25 they went back and were trying to claim the original

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1 basic broad idea, then they've still got -- they're
2 still limited by their invention, unless they want to
3 change that and say, no, I'm only going to claim the
4 little piece that I added.

5 But they essentially in their original 10:20:50
6 patent application, they had source filtering in
7 there.

8 Now, you're allowed to do that. You're 10:20:54
9 allowed to disclose in one patent several different
10 inventions and claim them in different claims. But
11 what you're not allowed to do is add other stuff and
12 then go back to your original application, try and
13 claim something that is based on that original
14 application and pretend that the statements that you
15 made didn't make a difference.

16 Because what you heard Mr. Govett say is, 10:21:23
17 listen, everything that is in these claims is
18 supported by the original application. That's why you
19 could ignore the second 20 claims -- second 20
20 columns. He told you that you could ignore everything
21 after the original disclosure in column 20 because
22 these claims are fully supported by what was disclosed
23 originally.

24 So this may be a continuation in part, but 10:21:48
25 it's not to an invention different from what was

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1 disclosed.

2 THE COURT: Okay. Let me ask this, 10:21:57
3 also, what about the issue that the plaintiff brings
4 up regarding the fact that this arithmetic coding
5 you're talking about is discussed in -- it's discussed
6 specifically in other claims that are not, I guess,
7 asserted here, dependent Claim 4, 6, 5, why is it
8 necessary to have arithmetic coding expressed here
9 related to Claim 3?

10 MR. YOCHE: The reason is simply 10:22:34
11 this -- and we've got some cases that are in our
12 brief, Your Honor, and we'll discuss later on.

13 What you're not allowed to do is stick -- 10:22:42
14 for example, if you're only entitled to claim
15 arithmetic coding, you're not allowed to stick
16 arithmetic coding in one claim and therefore claim --
17 and therefore argue that your other claims that don't
18 have arithmetic coding are broader than that. You're
19 either entitled to something broader than that or not.

20 And if we can go to one of those cases here, 10:23:02
21 this is a good example, the Decisioning case. So what
22 happened on the Decisioning case is what does the term
23 "remote interface" mean? Remote interface is a broad
24 term. The inventors tried to add that in to say,
25 well, listen, this is broader than what I've

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1 disclosed.

2 And the Federal Circuit says, well, if you 10:23:20
3 look at the whole specification, you see that remote
4 interface can't include and consume their own personal
5 computer.

6 Now, if you were to ask somebody on the 10:23:30
7 street could a personal computer be a remote
8 interface, the answer is yes. Even though -- even if
9 they later on tried to add in a claim to everything
10 else other than remote -- a consumer-owned personal
11 computer, you're not allowed to broaden your claims
12 beyond what you've told everybody your invention is.

13 And so the fact of the matter that they have 10:23:54
14 some other claims which have a bunch of other
15 limitations and also have the arithmetic coding
16 doesn't mean that they're allowed now in these claims
17 to a claim broader than that. All we're doing, if you
18 want to do that, is moving the fight to an invalidity
19 fight of whether or not their claims are supported by
20 the specification.

21 But this specification unambiguously ties 10:24:14
22 its solution to the problem to this reversible error
23 of arithmetic coding.

24 And indeed, if we can go back to slide 22, I 10:24:26
25 mean, a proposal I would make here, because in going

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1 over this last night, it may be a little bit confusing
2 to have the limitations other than traditional
3 hashing. From hashing, what makes it distinct is it's
4 reversible, so get rid of distinct hashing and add
5 reversible for arithmetic compression. And that's
6 what allows the invention.

7 They did not invent source code filtering. 10:25:08
8 Give you a prosecution history. They don't claim to
9 have invented source code filtering -- source address
10 filtering, excuse me. What they invented was making
11 it practical by means of this arithmetic code
12 compression.

13 And when you do that, as the cases say, when 10:25:26
14 you say that's the important part of your invention,
15 when you say that's what distinguishes your invention
16 over everything else, you're not allowed to claim
17 broader than that.

18 And they specifically disclosed -- they 10:25:43
19 specifically disclosed other ways of doing this
20 association that they say wouldn't work. But their
21 claim construction would encompass these. So the
22 claim construction they're urging on this Court would
23 encompass all these associations that according to
24 them would not work.

25 I refer Your Honor, also, to column 18 of 10:26:14

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1 the '224 patent, which I can just read into the
2 record, it's one sentence, lines 7 through 9 which
3 says, the routing switch design in 38896 shown in
4 Figures 2 and 3 are specific implementations of the
5 novel arithmetic compression process employed by this
6 invention.

7 The reason I bring that up is because of the 10:26:38
8 argument that was made by plaintiff that Figures 2 and
9 3 don't show arithmetic compression when the patent
10 itself says that those are examples of arithmetic
11 compression.

12 So that's all they've disclosed. They've 10:26:51
13 indicated that it's an important part of the
14 invention. Next slide, next one, next one, next one.
15 They've indicated that it is in fact the key, it's
16 what makes this thing possible, this whole technique
17 possible, you're not allowed to claim broader than
18 that.

19 THE COURT: Is that it? 10:27:18

20 MR. YOCHE: Yes. 10:27:20

21 THE COURT: Okay. What will be the 10:27:21
22 next term? Let me ask, does plaintiff have any
23 response?

24 MR. GOVETT: I can answer your question 10:27:29
25 on the disclosure, Your Honor, if that's all right

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1 with you?

2 And I'm really familiar with these patents. 10:27:33
3 We've got it here on the slides. If you look at slide
4 3 in our book, Your Honor, you asked the question
5 about tell me about the patent family. The question
6 is answered in slide 3 and slide 5. There's two
7 chains which they both start at the '480 patent. The
8 '480 patent is the original patent, that's the
9 original disclosure from June of '89, and then you
10 have one chain, as we see here in slide 3, that goes
11 to the '224 patent.

12 And if you look right there where it says 10:28:14
13 63, the related U.S. Application Data, it's a
14 continuation of serial number 737147 filed July 29,
15 1991. All right. And then that was abandoned.
16 Compare that to slide 5, which is on the '906.

17 What happened was, is you had the filing 10:28:38
18 right here, the 737147 filing. The '224 patent flowed
19 from that. And also if you look also on the related
20 US Application Data, the third line up on slide 5 from
21 the '480, you'll see that a continuation in part of
22 application 737147 filed July 29, 1991. That's the
23 same filing.

24 So the '224 came out of that, as did the 10:29:07
25 '258, the '136, the '670 and the '906. So I hope that

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1 answers the question.

2 But as you said, of course, and as they've 10:29:19
3 admitted, the source filtering in the '224, that's
4 part of the original disclosure. So they just worked
5 off of that and they did the '224 and then they did
6 the '258, '136, the '670 and the '906. And, of
7 course, just like in the last case, the '670,
8 everybody admitted we're talking about the original
9 disclosure as we are here.

10 And Ms. Quinn is going to have to address 10:29:44
11 the other issue.

12 THE COURT: Thank you. 10:29:50

13 MS. QUINN: Yes, Your Honor, I believe 10:29:57
14 Mr. Govett explained a lot of what this patent history
15 does. And to respond to a comment about this is a
16 continuation in part, you can't file a patent
17 application with new stuff and call it a continuation.
18 You have to call it a continuation in part. You can't
19 describe further your invention, you have to claim all
20 of the particulars of the new stuff.

21 And what we have here is in '224, and it's 10:30:21
22 supported by the arguments that the applicant made by
23 the prosecution on Exhibit F in defendant's brief,
24 page 7 which corresponds to the arguments that relate
25 to claims at issue here, Claim 3 and Claim 8, those

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1 arguments were based solely on source filtering
2 information. There's nothing in those arguments
3 trying to overcome prior art or any other art of
4 record based on anything else concerning records or
5 arithmetic coding or any of that. And this is an
6 appeal brief, as Mr. Govett just reminded me of, and
7 that's what the Patent Office looked at to determine
8 whether the patents -- whether the claims were
9 patentable or not.

10 And they went through a few terms, 10:31:13
11 associated terms. And we were looking at Claim 3
12 originally when I got up the first time, the "looking
13 up" term appears in Claim 3. The other terms they
14 went through are what we call the associated terms or
15 association with terms. Those terms appear in claims
16 of the '906 patent.

17 And I didn't get a chance to address those. 10:31:38
18 And I just briefly want to respond that associated
19 with -- what they're trying to do is to hang on to
20 this term "associated with." All of this other stuff
21 about compression and coding, there's no word in these
22 claims on which we can hang such a heavy limitation.
23 And as we've already said, it's an additional
24 inventive concept that's described in the patents and
25 the claims don't go to that concept.

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1 And in the brief we've provided various 10:32:07
2 cites in which the word "associated with" is not
3 described as being a particular association. They're
4 trying to put this into the claims using this word and
5 we don't think that's proper. The word has a plain
6 meaning, associated is having a relationship with.
7 The address is having an association to the user.

8 The MAC address is the physical address that 10:32:30
9 has an association with a hardware. There is no
10 specific way of associating these things as far as the
11 claim should be concerned.

12 And what are the main arguments we would say 10:32:43
13 is, specification describes this coding is a further
14 part of the invention. They show you a slide in which
15 they quote part of the specification, but they say
16 further arithmetic coding is used here.

17 But if you look at that, it's column 22, 10:33:00
18 lines 15 through 46, the first paragraph there starts
19 referring to the novel system allowing entities having
20 a universal identification number to communicate with
21 each other. And that's the first paragraph.

22 And then it says, "Further reversible 10:33:18
23 arithmetic coding compressions are used to reduce the
24 size of the network address index." So these are two
25 different concepts. And we submit, Your Honor, the

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1 '224 patent goes to source filtering, the '906 patent
2 goes to filtering and also to forwarding and blocking
3 ports from forwarding based on the source address and
4 the MAC address and has nothing to do with arithmetic
5 coding. Those are limitations of other claims and
6 other patents.

7 THE COURT: Okay. Thank you. 10:33:51

8 MR. YOCHE'S: Your Honor, could I 10:33:53
9 address that briefly?

10 THE COURT: Just briefly. You can do 10:33:56
11 it from right there.

12 MR. YOCHE'S: Okay. Thank you, Your 10:33:59
13 Honor. If you take a look -- Your Honor, do you have
14 the '224 patent?

15 THE COURT: Yes. 10:34:04

16 MR. YOCHE'S: -- and look at the part 10:34:04
17 we're talking about here starting on column 21. And I
18 think the representation was made, is everything after
19 line 40 was added in this continuation in part. Okay.
20 And look what it starts off, "Further reversible
21 arithmetic coding compression techniques are used to
22 reduce the size of the network address."

23 And then if you follow it, and we're not 10:34:31
24 going to do it now, I think, everything that's
25 described hereafter are other ways of doing reversible

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1 arithmetic code compression. They're shown in Figures
2 5 through 9, and if you look at the figures there's
3 some other flow diagrams here.

4 But all they're describing is other ways to 10:34:50
5 do this same arithmetic code compression that is used
6 for the source filtering.

7 If you look at the original disclosure, and 10:35:01
8 I think -- can we put up the -- my presentation -- and
9 specifically 26, the original application disclosed
10 reversible arithmetic code compression. So they
11 didn't -- that was part of the original invention.

12 What they added were other ways of doing 10:35:40
13 reversible arithmetic code compression.

14 We don't deny that they're entitled to claim 10:35:48
15 covers for all those different ways of doing
16 reversible arithmetic code compression, we're not
17 trying to limit the claims to a specific method of
18 reversible arithmetic code compression.

19 But in the original application, 1989, they 10:36:03
20 said here's what our invention is, it's key. And when
21 they filed the continuation in part they said, and
22 here's some other ways to do that. So they didn't
23 change the basics of their invention, all they changed
24 were giving some more details of how to implement it.

25 THE COURT: All right. What will be 10:36:22

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1 the next term the plaintiff would like to address?

2 MS. QUINN: Well, Your Honor, I believe 10:36:27
3 the physical address terms would be next, but I think
4 we've agreed that the plaintiff's construction stands.

5 So the next term will be MAC address term. 10:36:36

6 THE COURT: Would be what term? 10:36:40

7 MR. YOCHE: That's true, Your Honor. 10:36:43

8 In light of their brief filed on Monday clarifying
9 matters, we can accept their constructions on anything
10 related to the physical media.

11 THE COURT: So we have an agreement on 10:36:52
12 physical media, a physical media address, and a
13 physical media address by identifying the physical
14 device for routing the data packet in physical media?

15 MS. QUINN: That's correct. 10:37:06

16 THE COURT: Okay. And I know we had 10:37:06
17 agreement on physical media. Do we have -- have y'all
18 filed those agreements with the Court?

19 MS. QUINN: Yes, Your Honor, they're 10:37:17
20 part of the joint charts. The term appears agreed.
21 And in the defendant's revised brief they stated their
22 agreement.

23 Just for the record, the construction that 10:37:28
24 defendants have agreed with is plaintiff's
25 construction, physical media address and the phrase

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1 physical media address.

2 THE COURT: Is that correct,
10:37:39
3 Mr. Yoches?

4 MR. YOCHESS: Yes, that's true.
10:37:42

5 THE COURT: Okay. So the next term
10:37:43
6 will be what?

7 MS. QUINN: MAC address from '906. And
10:37:46
8 that would leave, for the end, means plus function.

9 THE COURT: All right. Well, then
10:37:51
10 let's take a break, about 15 minutes, resume here
11 about five till eleven. And at that point we'll take
12 up the MAC address term in the '906 patent. So we'll
13 recess until then.

14 (Recess.)
10:38:04

15 THE COURT: All right. Let's take up
10:58:43
16 the term "MAC address." But let me ask a question of
17 the parties, maybe a couple questions regarding some
18 of the terms that have been addressed here previously
19 this morning.

20 The first would relate to the "logical
10:58:59
21 address" term. One thing I'm unclear on is this issue
22 related to internal structure. It seemed like both
23 parties were in agreement, I suppose, that the system
24 we're talking about here does not rely on structure,
25 the internal structure of the address to process -- to

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1 process it. Would that be correct? I know that's the
 2 plaintiff's argument.

3 Defendants, would there be an agreement on 11:00:11
 4 the defendant's behalf that the system we're talking
 5 about here doesn't use this structure, internal
 6 structure to process the information?

7 MR. YOCHE: That's correct, Your 11:00:22
 8 Honor.

9 THE COURT: With that agreement, I 11:00:23
 10 mean, is there some -- and secondarily, I'm not sure
 11 that the parties dispute the idea that these addresses
 12 could have, I guess, what's termed "internal
 13 structure"; would that be correct, Mr. Govett?

14 MR. GOVETT: That's correct. 11:00:42

15 THE COURT: Mr. Yoches, would that be 11:00:43
 16 the defendant's position?

17 MR. YOCHE: Well, yeah, but I'm afraid 11:00:47
 18 there's confusion here. If you're talking about
 19 internal structure in this environment, that's true,
 20 it doesn't have it, it has no internal structure.

21 Their point was, I believe, you could choose 11:01:02
 22 something which had an internal structure for a
 23 different -- like a telephone network, so it does have
 24 an internal structure for the telephone network. But
 25 when you use it as an address, there's no internal

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1 structure because there's no area code part, there's
2 no exchange part.

3 So I would say that the same number as an 11:01:22
4 internal structure in the phone system and doesn't
5 have an internal structure on the internet.

6 THE COURT: Well, and that kind of goes 11:01:30
7 to what my question is, whether there's a way to
8 structure the -- to construe this term that
9 communicates that the structure is not used in this
10 system, to not say it doesn't -- it may or it may not,
11 but as it relates to this system here it's not being
12 used.

13 MR. YOCHE: That's the reason that the 11:01:59
14 words we use which were taken in the patent says no
15 internal structure to suggest the network connection
16 location.

17 So, you know, it may have an area code, but 11:02:08
18 that doesn't suggest the network connection location.
19 You may want to use, oh, I don't know, your actual
20 physical address and have a series of numbers, but
21 that doesn't tell your actual network connection
22 location. So that's why the term "internal structure"
23 in our proposed construction is modified that way.

24 THE COURT: Okay. Well, that clarifies 11:02:29
25 that a little bit.

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1 Mr. Govett, what would be -- now, one thing 11:02:32
2 I'm wondering whether there's some bridging of the
3 gap, that if that's what they're trying to communicate
4 and you seem to be, at least to some degree, in
5 agreement that that is what's going on here, maybe
6 there's some language that you can accept to
7 communicate that.

8 MR. GOVETT: Or that they can accept, 11:02:55
9 Your Honor.

10 The problem is they're proffering a 11:02:57
11 construction for a different term and saying no
12 internal structure. You can't do that in light of
13 this -- in light of what we've got here in the patent.

14 What you're saying and what's been agreed 11:03:10
15 that it's processed -- is our construction, it's
16 processed without regard for the physical location and
17 connection. That's it. That's we're talking about
18 here, structure independent.

19 And that's why going back to column, I think 11:03:23
20 it's 11 and 21, I cited these in the two pages that I
21 cited to the Court, and that's why those are so
22 important. It's treating without predetermined
23 internal structure and processing as a unique ID code.
24 That's exactly --

25 I mean, really, what we tried to do is take 11:03:46

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1 that language and put it into the construction
2 processed without regard for the physical location.

3 Columns 11 and 21 are pretty much what's 11:03:59
4 described there, we thought in line with our
5 alternative proposed construction.

6 THE COURT: Okay. Tell me where that 11:04:10
7 is again.

8 MR. GOVETT: Yes, Your Honor. It's on 11:04:12
9 this two-page sheet. It's really column 11. Well,
10 it's all of them on the two pages. But the ones that
11 kind of bring it altogether are the column 11, lines 1
12 through 9: The novel system of the present invention
13 modifies Figure 1 to provide an internet routing table
14 that uses a flat logical address structure to provide
15 fast and efficient route processing of both multicast
16 and unicast message traffic. In the present system,
17 the physical address structure is removed from the
18 design and operation of internet routing by treating
19 the message addresses as a symbol string without
20 predetermined internal structure and processing them
21 as if they are a unique code.

22 So it treats it and processes it just as a 11:04:56
23 unique code. And that's -- when we talk about without
24 regard for the physical location, that's what we're
25 saying, it's the same thing. And column 21 is the

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1 same point right there at the bottom.

2 THE COURT: Well, let me ask this: 11:05:18

3 Tell me what the difference is between the defendant's
4 no internal structure to suggest network connection
5 location and your without regard for the physical
6 location of the connection.

7 MR. GOVETT: They're saying no 11:05:34

8 structure, which is at odds with all these disclosures
9 in the patent on these two pages.

10 THE COURT: Now, what they're saying 11:05:41
11 is, has no internal structure to suggest network
12 connection location.

13 MR. GOVETT: That's what they're 11:05:50
14 saying, that's not what's written here. You either
15 have structure -- you can have structure or you don't.
16 The patent talks about treating it and processing it,
17 that's the difference.

18 THE COURT: So you're saying there's no 11:05:57
19 -- when they say no internal structure to suggest,
20 they're saying no internal structure.

21 MR. GOVETT: Exactly. 11:06:04

22 THE COURT: Well, let me ask this: 11:06:04
23 What -- I guess I know your answer. You're saying
24 that the definition or the way this term "logical
25 address" should be construed is tied up in how it's

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1 processed.

2 Now, initially you had suggested an address 11:06:22
3 assigned in the computer network. Go ahead, tell me
4 why.

5 MR. GOVETT: Because it's supported. 11:06:31
6 Because the logical address is supported.

7 When you look through the discussion in 11:06:35
8 specification about logical address, that is
9 supported. But we don't have any difficulty in moving
10 closer, and so that's this discussion. We don't have
11 any difficulty in moving closer to them.

12 And in terms of logical address, we think 11:06:48
13 that's fine, particularly in light of what the Court
14 has done previously.

15 But IP address and the contents of the '670, 11:06:53
16 mobile IP is completely different stuff than we're
17 talking about here, and that's why the unchanging is
18 not proper. In mobile IP, it doesn't change during
19 the connection.

20 See, what they want to do with the 11:07:07
21 unchanging, and I want to talk about this, too, while
22 we're on it, is they want to say, well, unchanging for
23 all time, it never changes. And that's not the case.

24 I mean, the interest rate could change from 11:07:16
25 one year to the next on a credit card, it can change

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1 from credit card to credit card and so forth. That's
2 the analogy that was given, it's not appropriate.

3 In this invention, it's fixed for the 11:07:28
4 duration of the connection. And to say that it's
5 unchanging opens up the argument to say, oh, for all
6 time, which is improper because that's not at all
7 what's disclosed here.

8 THE COURT: Okay. Let me be clear. As 11:07:44
9 to your view of logical address --

10 MR. GOVETT: Yes. 11:07:50

11 THE COURT: -- are you saying a logical 11:07:51
12 address has structure such that -- but it's not
13 processed with regard to that structure, or it could
14 or could not?

15 MR. GOVETT: The latter. 11:08:06

16 THE COURT: Now, my other question is, 11:08:08
17 it was kind of along the lines of what we were saying
18 you propose first. A question I had was are we
19 defining logical address as to what it is or how it's
20 used? In other words, you could stop a fixed and
21 unique identifier of the connection to the internet
22 represented by a series of numbers. That's your
23 alternative proposal, period. And perhaps that
24 defines what a logical address is rather than how it's
25 used.

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1 MR. GOVETT: That's a good question. I 11:08:41
2 think in the context of this patent it's both, because
3 there's a lot of disclosure on that that we put on
4 these two pages and brought to you. It's a pretty big
5 disclosure, so we put them in here.

6 And it also provides the flexibility that I 11:09:01
7 talked about with the file history when I handed up
8 the file history. My point with that was, is
9 (903) 682-8282 in the phone system from this phone to
10 your home, it's treated, however you want to say it,
11 you know, they use that 903, okay, we're here, 682,
12 that's that part of town, and then the block and so
13 forth.

14 But when you go to Home Depot and you type 11:09:30
15 that in, boom, it takes the whole thing, says Judge
16 Love or Brett Govett or whoever.

17 So it can have structure. But in the 11:09:38
18 context of this invention, it's processed without
19 regard to that.

20 I hope I'm not muddying the water. 11:09:46

21 THE COURT: No, no, I think -- 11:09:49

22 MR. GOVETT: I'm trying to clarify. 11:09:50

23 THE COURT: You know, I certainly still 11:09:52
24 have questions and it's not all completely clear, of
25 course. But I think your answer is well taken.

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1 But let me get to the defendants on this. I 11:09:59
2 guess just the idea that -- I mean, what's wrong with,
3 at least in my mind right now, defining it more as
4 what it is rather than how it's used? And I mean, we
5 have an almost agreement if we stop after the phrase
6 "series of numbers."

7 What would be your position on that? 11:10:28

8 MR. YOCHE: Your Honor, we do, 11:10:32
9 especially since they say unchanging is redundant with
10 fixed. So we aren't in a hundred percent agreement.

11 THE COURT: So the defendant could 11:10:41
12 agree with a fixed, unique and leave that unchanging
13 for a minute, identifier of a connection to the
14 internet represented by a series of numbers?

15 MR. YOCHE: Sure. 11:10:52

16 THE COURT: Mr. Govett? 11:10:53

17 MR. GOVETT: That is -- I think he was 11:10:56
18 answering the first part of your question.

19 MR. YOCHE: Just to make sure. And 11:11:01
20 that would be the only definition? Oh, I'm sorry, no,
21 I thought you meant that part.

22 THE COURT: I'm trying to get to a 11:11:06
23 final agreement here.

24 MR. YOCHE: No. It is important, I 11:11:08
25 think both sides agree, that what makes it a logical

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1 address is that it doesn't indicate, it has no
 2 structure that suggests where it is in this network.
 3 Again, Mr. Govett's example is your telephone number,
 4 when you use it at Home Depot, doesn't suggest where
 5 you are or which Home Depot you're in, it doesn't do
 6 that.

7 THE COURT: Let me stop you there. 11:11:34

8 What if your definition said -- the problem,
 9 obviously, is you have in there no internal structure.

10 MR. YOCHE: To suggest. 11:11:47

11 THE COURT: Well, right. But he says 11:11:47
 12 when you say that, then you've said it has no
 13 structure.

14 MR. YOCHE: Then he's wrong. 11:11:54

15 THE COURT: What if we said it has -- 11:11:56
 16 you know, that it's processed -- in other words, they
 17 have process without regard to physical location. You
 18 have network connection location.

19 MR. YOCHE: It doesn't make any 11:12:11
 20 difference.

21 THE COURT: Okay. We're both getting 11:12:13
 22 at that it's processed or used in such a way without
 23 regard or there's no suggestion of where it's located.

24 MR. YOCHE: Fair enough. That's true. 11:12:26
 25 The key part about it that I think we both agree on is

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1 that the logical address doesn't suggest where you are
 2 on the network. It could suggest -- and I'll just be
 3 honest, it could suggest location, but that's why --
 4 and that's why I take offense.

5 You know, Mr. Govett is sitting there 11:12:46
 6 anticipating what arguments I'm going to make. I put
 7 in the recommendation that we modify the internal
 8 structure we're talking about is one that suggests the
 9 internal location. It seems to be stupid of me to
 10 come before this Court later on and argue that it has
 11 some internal structure that's unrelated to that. I'm
 12 committed to the kind of internal structure I'm
 13 talking about.

14 THE COURT: Well, it sounds like to me 11:13:07
 15 that you agree that this address could have structure.

16 MR. YOCHE: Yes, I agree. 11:13:13

17 THE COURT: So Mr. Govett's problem is 11:13:14
 18 it says has no internal structure.

19 Now, if you could define it in such a way 11:13:20
 20 that leaves that out.

21 MR. YOCHE: Well, that's why I thought 11:13:26
 22 the next phrase is what structure we're talking about.

23 THE COURT: Mr. Govett, why does that 11:13:32
 24 structure not identify what structure he's talking
 25 about, that without to suggest network location?

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1 MR. GOVETT: It's not what the patent 11:13:42
2 says. You cannot find in the patent any statement
3 that says no internal structure. I mean, it really --
4 we've pulled, Your Honor, it goes back to these two
5 pages. I hate to keep coming back to that. But
6 really that's what it's all about, and it's very, very
7 clear in the disclosure.

8 And we tried to match the disclosure. He's 11:13:59
9 pretty much agreed the physical location, I thought I
10 heard that, I don't want to put words in his mouth,
11 but I think what we're saying is based on the last
12 agreement is our alternative proposed construction.

13 MR. YOCHE: Could I just point out, if 11:14:15
14 you look at column 6 of the '224 patent.

15 THE COURT: Okay. 11:14:29

16 MR. YOCHE: And start at line 6, it 11:14:30
17 says: Specifically the present system provides the
18 following features, variable length addresses with no
19 known internal structure. So the patent does say.

20 THE COURT: Okay. Well, let me ask 11:14:45
21 another question. I just want to be clear that, are
22 the parties disputing whether -- is there an agreement
23 that source and destination addresses are logical
24 addresses?

25 MR. GOVETT: Yes. 11:15:05

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1 THE COURT: Mr. Yoches. 11:15:07

2 MR. YOCHESS: We believe they're logical 11:15:09
3 addresses.

4 THE COURT: I just wanted to clarify 11:15:11
5 that.

6 Okay. Let's move on to the MAC address 11:15:13
7 term.

8 MS. QUINN: If you could turn to slide 11:15:26
9 68. Your Honor, we've taken the definition for this
10 term right out of the specification. I mean, the
11 language is clear it's a MAC address, the address
12 associated with the MAC. The MAC is a media access
13 controller. And the problem we have from defendant's
14 construction is it doesn't say that it is a physical
15 address, it doesn't have any relationship to a media
16 access controller, it leaves out what address we're
17 speaking about. And identifying a host is not
18 sufficient and it's actually inaccurate.

19 We look at Figure 2 of the patent, and I'll 11:16:08
20 put it up here for you, we have several MACs that are
21 listed here. And MACs in this situation, they're the
22 controllers and the portion of the controllers. And
23 there's a host 40 also indicated here would be somehow
24 a processing computer that can receive information
25 from the switch. But it's not the only hardware in

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1 the claims that would have an address associated with
2 it.

3 So we believe that the defendant's 11:16:43
4 construction is too vague, wouldn't help to understand
5 what is a MAC address, an address in association with
6 something physical, being a media access controller.
7 And it's actually incorrect.

8 And if we can turn to the next slide, we 11:17:01
9 also have a problem with -- basically in their briefs
10 they cannot be a physical address. Well, that's what
11 a media access controller is, it's a physical device
12 network. So it is a physical address.

13 And we had the issue of logical versus 11:17:17
14 physical, and their interpretation of this term was
15 dangerously close to what they have for the logical
16 address. So if we're to adopt that construction, it
17 would be confusingly similar and not really identify
18 what we're referring to.

19 And embodiments that are described in the 11:17:37
20 specification don't say conclusively and unambiguously
21 that the MAC address never changes. So the idea that
22 you can have an unchanging MAC address is actually not
23 supportive of the specification.

24 In the highlighted section here, as we said, 11:17:56
25 you know, the broad scope of the '906 and the '224

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1 cover both embodiments, one in the internet or in
2 mobile system. You have mobile end-systems can keep
3 the same network identification code, not physical
4 address. Because when you move from network to
5 network you may switch from using an Ethernet port and
6 you may switch to using a WiFi port, and that's a
7 different address.

8 And that is not the address that we're 11:18:20
9 referring to in these claims as being uniquely
10 identified anyway. The addresses that uniquely
11 identified are referring to the logical address that
12 we just finished discussing and that's why we believe
13 our construction is more supported by the
14 specification.

15 THE COURT: Okay. Thank you. 11:18:36
16 Response.

17 MR. YOCHE: If we weren't explicit 11:19:10
18 before, I'll be explicit now. MAC address in the
19 context of the claim we're talking about has to be a
20 logical address.

21 Let's take a look at Claim 9. Claim 9 is 11:19:18
22 essentially also something that refers to the source
23 address filtering and destination address filtering.
24 And it says essentially, as was described, but I'll
25 summarize it, when you receive this address, you look

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1 to see do I have instruction, is there a match. If I
2 have instructions, I follow the instructions. If I
3 don't have instructions, I do some stuff so I can
4 figure out what to do. Same thing with the
5 destination address.

6 And the process that's discussed in this 11:19:58
7 claim only takes place with logical addresses. The
8 routing only takes place with logical addresses.

9 And what the patent discloses, if you go to 11:20:09
10 the next slide, is that when you're choosing this
11 address, just like the example that was given with
12 Home Depot, that you could use your telephone number
13 as your personal identification address, one of the
14 things you can choose to be this fixed and unchanging
15 number is a MAC number. It's the number if you buy a
16 piece of equipment, Your Honor, you see next to the
17 serial number, it's a very long number. And that can
18 be your fixed and unchanging number, but it's still a
19 logical address. Even though it may be a physical
20 address for purposes of tracking a particular device
21 or something like this, in this network it is a
22 logical address.

23 And the reason it can't be a physical 11:20:58
24 address -- and I'll refer you, Your Honor, I don't
25 have a slide on this, but again, if you don't mind

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1 looking at the patent here, the '906 patent in this
2 case, column 17, line 10, it says -- it's describing
3 how this thing operates: The router strips off the
4 incoming physical header, when 120 is what they call
5 the MAC address. It's stripped off. It cannot be
6 used for purposes of looking things up.

7 And so contrary to what you just heard, 11:21:41
8 there is not a single embodiment in this patent, nor
9 can they point to one nor have they shown you one of
10 using a physical address as part of this routing
11 means, as part of this source code filtering. It just
12 doesn't exist.

13 And so the question is, what then is meant 11:22:00
14 by the one quote that they had here, which was from
15 column 11, line 66 through column 12, line 3, where it
16 says: The mobile end-systems can keep the same
17 network identification code.

18 That means that they have a fixed and 11:22:20
19 unchanging address, which is a logical address, not a
20 physical address. And that's all that means.

21 And so the term "MAC address" is never 11:22:33
22 defined. A MAC is defined and there is a number that
23 is associated with MAC that you can use as a logical
24 address.

25 What I refer the Court to, if you can go to 11:22:41

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1 slide 20, the next one, there you go, this is from the
2 prosecution history in the '906 patent. And just to
3 give Your Honor some background in this, the '906
4 patent was one that just recently issued. And in that
5 -- in the prosecution of that patent, the Patent
6 Office says, well, listen, we think that this -- these
7 claims are what's called obvious over the claims of
8 the '480, and they issue what's called an
9 obviousness-type double patenting rejection, which
10 essentially says I'm not going to allow you to have
11 these claims and extend your patent monopoly beyond
12 the length of the '480 patent in this case, which was
13 the very first patent that issued.

14 And what the Patent Office understood these 11:23:48
15 claims to be is as follows: They said it is well
16 known skill in the art that the unique, fixed and
17 unchangeable code identifying a device can be a MAC
18 address.

19 Well, the first part is defining a logical 11:23:59
20 address. And the examiner said you can't -- I will
21 not let you have a claim and extend your patent
22 monopoly just by saying that that number can be a MAC
23 address. And so the Patent Office understood this
24 claim could be a logical address.

25 The inventor and the patent owner didn't 11:24:20

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1 challenge this, instead they issued what's called a
2 terminal disclaimer where they said okay, we'll make
3 sure this patent ends at the same time the '480 patent
4 does because we're not going to fight you on this
5 obviousness double patent issue.

6 So I don't think there's much question that 11:24:38
7 the MAC address is a logical address. And that's why
8 our construction of the claim is as a logical address,
9 in this case one that's assigned to a host, a host
10 being whatever would have perhaps that MAC number.

11 THE COURT: All right. Thank you. All 11:24:54
12 right. Let's move on to the next term.

13 MS. QUINN: So, Your Honor, I think 11:25:45
14 we're moving into the means plus function realm with
15 our briefing here, and in particular there are four
16 terms that we're going to be discussing.

17 Claims 8 and 12 of the '224 patent are 11:25:58
18 drafted using means plus function language. And the
19 parties agree that these four terms are means plus
20 function terms. And we're going to take it
21 one-by-one.

22 The first one is the means for receiving -- 11:26:14
23 before we move on, Claim 8 goes to the source
24 filtering of the data packets in response to the
25 source filtering information and Claim 12 goes to

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1 routing the packets based on the destination address.
 2 So there are two aspects of filtering and routing of
 3 packets and they were going to become distinctly
 4 different from each other in a minute when we discuss
 5 the means plus function.

6 Next slide. And what we have here is the 11:26:48
 7 functions agreed to by the parties. The function is
 8 receiving a data packet. So what we're trying to find
 9 is what structure performs receiving a data packet.
 10 And we have a fundamental disagreement on how these
 11 embodiments are described in the specification.

12 And recently in their briefing they removed 11:27:10
 13 the media access controllers that we had agreed on as
 14 being structures that receive the data packets. And
 15 if we can refer to Figure 2, which it shows the scope
 16 of what a switch is, we have -- number 38 is the area
 17 that's highlighted on the screen on Figure 2, and that
 18 is what's considered to be the switch part of the --
 19 of what's called the media access controller switch.
 20 And in some areas it discuss specification that has
 21 been shorted to MAC 38, but it's really a switch. As
 22 you can see, it's got various media access controllers
 23 communicating with it.

24 34, if we can click one more time. We have 11:27:55
 25 highlighted here in red what are the media access

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1 controllers. The switch is receiving information.
 2 The packets from media access controllers that it has
 3 and they're switching packets for.

4 And in this sense, it is described that 11:28:11
 5 switch 38 receives packets through MAC 34, receives
 6 them through that line 36, those are data and clock
 7 lines. So it is receiving the data packets.

8 And we agree that the media access 11:28:28
 9 controllers, they're ports, they're receiving data
 10 packets, and then the media access controller, switch
 11 38, is processing those packets according to the
 12 claim, but at a minimum that is the structure.

13 And the next slide, what we have here, just 11:28:43
 14 to make that point further, if we can click again, the
 15 switch is receiving the packets from each of the ports
 16 and the host that is described as host 40, I believe.
 17 And this MAC switch 38, but we shortened it for
 18 brevity purposes, it receives packets from other
 19 hosts.

20 So we think that the structure really is the 11:29:09
 21 media access controller and the switch also receives
 22 them because it's going to process them, but the media
 23 access controllers are the structure.

24 And we disagree with defendant's proposed 11:29:20
 25 structures. There are dozens of them and they span

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1 all the figures and embodiments described including
2 logic that is not thoroughly described. And in
3 particular, we take issue with citing every buffer
4 that is identified in the patent because they're not
5 described as being directly linked to the function.

6 And one of the issues we have here is what 11:29:47
7 structure necessarily performs the function, and we
8 believe that necessarily and clearly described the
9 media access controllers receive the data packet and
10 that's a perfect function for the means plus function
11 term here.

12 THE COURT: Okay. Thank you. 11:30:02

13 MR. YOCHE: If I could suggest, Your 11:30:08
14 Honor, I think it would be easier if they did all the
15 means plus function at once and then I did all the
16 means plus function because they're interrelated.

17 THE COURT: Would that be okay? 11:30:18

18 MS. QUINN: We have no objection to 11:30:20
19 that.

20 THE COURT: Okay. Go ahead. 11:30:21

21 MS. QUINN: The means for looking up, 11:30:26
22 Your Honor, is the next term. And so the claim
23 receives data packets, next is the means for looking
24 up in a directory table stored at the controller using
25 the source address source filtering information

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1 associated with the source address.

2 This is similar to the method limitation we 11:30:44
3 went over with respect to Claim 3 with the looking up
4 was the method step. This is the means for associated
5 with the term. And we believe -- well, first the
6 function has been agreed by the parties, so the task
7 here is to identify what is the structure. And again,
8 we have a significant number of structures the
9 defendants have identified.

10 And we contend that the structure is simply 11:31:11
11 the source index. The source index 74 and the source
12 protect table 78, if you can turn to the next slide,
13 what we have here described is throughout what Figure
14 2 does, what switch 38 does, receives the data packets
15 and it's processing them serially. So it takes first
16 the addresses and then tries to put those addresses in
17 tables if they're not there already, and it describes
18 several tables.

19 There are at least three tables here that we 11:31:48
20 can tell; the source index table, the combined table
21 outputs and the source protect table. And the claim
22 language simply says looking up in a directory table,
23 so we're looking for a table in Figure 2.

24 And we contend that the one table that does 11:32:05
25 this is the source protect table. Because at that

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1 point in time is where you have all of the
2 information, source filtering information. That's a
3 term we've agreed on. And that's the information
4 that's going to be used by the switch to eventually do
5 something with it. And it goes into this table, looks
6 into it by using the source index. Databases use
7 index to look for information in tables, and that's
8 what we believe is the correct structure for these
9 two.

10 The other tables are there to create other 11:32:38
11 tables that eventually end up in a table that we're
12 looking at, which is the one that actually contains
13 the source filtering information.

14 The next one. The next term is means for
15 filtering. And Your Honor has a copy of the slide I
16 was about to point you to, slide 54. We've
17 highlighted there the two structures we believe
18 corresponds to the means for looking up the source
19 code information. And we do not believe it's proper
20 to go beyond these figures. Because this is the
21 figure that really describes source filtering. And
22 the claim is directed to source filtering, so we're
23 looking at what embodiment performs source filtering,
24 and this is the one. And so we have identified here
25 the structure.

1 The next slide. The next term here is means 11:34:03
2 for filtering. So there are three means plus function
3 terms in this claim, and this is the third one and
4 final one. And this is the means for filtering the
5 data packet in response to the source filtering
6 information. And the previous term looks it up and
7 this term is the means for actually filtering based on
8 that information.

9 And the specification we believe is fairly 11:34:29
10 clear and I think defendants agree that the buffer
11 routing logic is involved in this, but they also want
12 to add other structures. We believe the buffer
13 routing logic is sufficiently and clearly identified
14 as performing this function. It does it with the
15 information it received, which is the output of the
16 looking up, which is the record that is the protect
17 record.

18 And if we can click to the next one. That's 11:34:57
19 the buffered routing logic. And in this Figure 2 if
20 you can see there are two sides, two columns. The
21 left-side column of all the structures that follow in
22 parallel with the destination, sources happening in
23 destination is happening side by side.

24 And so the left-hand side, source protect 11:35:19
25 record 80, is the one that performs the source

1 filtering.

2 And now we're going to jump to Claim 12, we 11:35:26
3 talked about destination. Remember I said that Figure
4 -- Claim 8 goes to source and Claim 12 goes to
5 destination. So we're on the structures that are on
6 the left-hand side.

7 So the source protect record is the one that 11:35:42
8 has the source filtering information. And very simply
9 the buffered routing logic does the protection based
10 on that record. It filters back, it's based on that
11 record. So we believe that is the structure that is
12 associated with that term. And there are no other
13 structures that we believe are necessary to perform
14 that function.

15 And then the last term, which is now going 11:36:05
16 to Claim 12, we're looking at the means for looking up
17 using the destination address, now we're in the side
18 of the destination. In a routing table information
19 associated with the destination address for routing
20 the data packet for delivery to the receiver.

21 So here now we have a routing table and so 11:36:26
22 we're looking for that. And what we find here is a
23 routing table, it's the destination routing table, as
24 the name suggests. And that is routing index 76,
25 which is what is used to look into the routing table

1 84. And it makes sense.

2 Next slide. I've highlighted those for the 11:36:51
 3 Court and that is slide 58. The route index, again,
 4 similar to what we just talked about with respect to
 5 the source, an index is used to look into a database,
 6 that's how look up are performed. And the table that
 7 is being looked into is the destination routing table.
 8 And that is what is used eventually to route the
 9 packet by buffer routing logic.

10 And we contend, Your Honor, that these are 11:37:25
 11 the structures that are linked and necessary to
 12 perform the functions, and everything else is an
 13 attempt to include into these very basic claims with
 14 source filtering and destination source routing that
 15 limitations and other embodiments that tweak how the
 16 tables are created and how the indexes are -- and keys
 17 are created and not per se embodiments that deal with
 18 how the filtering is performed.

19 THE COURT: Okay. Let me ask you a 11:38:00
 20 question. Going back to the means for looking up in a
 21 directory table stored at the controller using the
 22 source address source filtering information associated
 23 with the source address.

24 My concern with your proposal on this one, 11:38:22
 25 which I believe is Figure 2, items 74 and 78, is the

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1 language that's at issue is looking up in a directory
2 table stored at the controller using the source
3 address source filtering information.

4 Now, why doesn't that language using the 11:38:50
5 source address implicate the structure necessary to
6 use to process the source address filtering
7 information?

8 MS. QUINN: That's a lot. 11:39:10

9 The language is that you're using the source 11:39:13
10 address filtering information. And the source address
11 filtering information is information that is created
12 by using the address.

13 The way the Figure 2 is described is the 11:39:27
14 addresses are received and then they're combined and
15 they're keeping track of them in a table. With the
16 source address filtering information, that's part of
17 it. And that is -- the index is what looks into the
18 table to go into what exactly is going to happen, do
19 we filter the packet or not. The source index is
20 using the address that is received.

21 So we believe that the source filtering 11:39:55
22 information is related to the source address because
23 that's what is used to eventually create the index
24 that looks into the source filtering information. So
25 they are related.

1 But the source index and the source protect 11:40:09
2 table are the ones that together work to look into and
3 find that protect record which is eventually the
4 source filtering information in its whole.

5 THE COURT: So the source index table 11:40:29
6 68 combined table output 72 and the protect record 80,
7 why are those -- why is that structure not implicated
8 when you're talking about using the source address
9 information?

10 I guess my concern is, is that it seems like 11:40:50
11 the way you're describing it may be that the language
12 would in effect be rewritten to say looking up in a
13 directory table stored at the controller source
14 filtering information associated with the source
15 address. In other words, what's giving effect to
16 using the source address language?

17 MS. QUINN: The using the source 11:41:15
18 address is the source index.

19 THE COURT: But these other things, 68, 11:41:19
20 72, 80, they are using the source address, too, aren't
21 they?

22 MS. QUINN: Yes, but they are not what 11:41:28
23 is used to look up. And what we're referring to here
24 is the means for looking up. These other tables may
25 use the source address to eventually create the index

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1 that is used to look up. The means for looking up
 2 cannot encompass structures that are not necessary to
 3 perform the looking up function.

4 THE COURT: Aren't 68, 72 and 80 11:41:49
 5 necessary for the looking up if you're going to write
 6 it that way?

7 MS. QUINN: We don't believe it is, 11:41:57
 8 Your Honor. Because what's required here is that you
 9 have a table that has the source filtering
 10 information, which is information that's used to
 11 determine whether to filter the packet or not, and
 12 that information is in the store protect table.

13 So regardless of what method is used to 11:42:17
 14 create that table or to make the index look for that
 15 specific information, the fact is that the structure
 16 using an index and a table already created to look
 17 into that directory table and then find a record that
 18 it needs to go through the information.

19 And the key here is that the claims are 11:42:38
 20 really directed to this novel idea of using -- not
 21 using the physical address and really focusing on the
 22 source address, the logical source address, to make a
 23 determination.

24 THE COURT: Okay. Thank you. All 11:42:51
 25 right. Response.

1 MR. YOCHE: Just start with Figure 2. 11:43:15

2 And I modified it a little bit just to help understand
3 the claim here.

4 But what you're shown here is a switch 38 11:43:25
5 that's connecting essentially a number of networks.
6 That's what the patent discloses. And the networks
7 are what I've added here, shown as little clouds here
8 connected to these MAC devices. And that's what's
9 disclosed in the patent.

10 So if you look at Claim 8 now in that 11:43:40
11 context. Claim 8 is defining a controller. And the
12 controller is only element 38. Because that is what
13 is -- next slide -- it is the first network which is
14 one on top there in green -- next slide -- there are
15 the other networks down here.

16 And the controller, then, 38, is what's 11:44:08
17 interconnecting those networks.

18 And so the three means elements here 11:44:12
19 logically have to be inside the controller. It may be
20 true under the abstract that it is possible for a MAC
21 34 to receive a data packet, but it's not part of the
22 controller and that's why we suggest that the
23 corresponding structure for this means element is just
24 -- next slide -- next slide -- is just these buffers
25 here, 48 and 50, as well as essentially the lay buffer

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1 52.

2 Next slide. And so they receive the message 11:44:56
 3 here, which is shown, and store above the destination
 4 the source address here.

5 Now, if you want to be super technical, the 11:45:08
 6 only part that actually stores the source address is
 7 just the source address shift register, but I think
 8 what's intended is that they're right next to each
 9 other and they're stored essentially at the same time.

10 Next. And the specification here does link 11:45:26
 11 those shift registers to this function. The
 12 specification explains at column 13, lines 1 through
 13 6, and then later 9 through 14, that the source
 14 address shift register and then the destination
 15 address shift register are how you get this -- how you
 16 receive the source address information.

17 Next slide. And so as Your Honor 11:45:54
 18 appreciated, this means -- the function is, is your
 19 means for looking up using the source address? So the
 20 source address is what comes out of the source address
 21 shift register, so that's -- that's at one end of a
 22 number of elements and the source filtering
 23 information is what comes out of the source protect
 24 table. We agree with the plaintiff on that.

25 And the patent explains that the way you get 11:46:23

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1 that information is, first of all, you have to process
 2 the source address. And one of the things that's done
 3 here in the source index table is finding out whether
 4 indeed you have information that relates to this
 5 particular address and what to do if you don't and
 6 then using that process information then as an index
 7 to the source protect table and you do it by combining
 8 -- you use combine table output 72 and all the other
 9 information.

10 So in addition you have as learned address 11:46:59
 11 logic which is tied to how you get the source
 12 filtering information. Because if the source address
 13 is not in this table originally, you have to find a
 14 different address, you have to find a different way to
 15 find the information that corresponds to that.

16 So the specification, and if you look at the 11:47:20
 17 '224 patent beginning at column 14, line 17, describes
 18 this operation of going from the source address to the
 19 source filtering information.

20 And then the specification says that if you 11:47:36
 21 want to find out about this element to learn the
 22 address logic, look at Figure 6, Figure 7, Figures 9
 23 through 14. So that's why that structure is included
 24 in the corresponding structures, because the patent
 25 links those figures to that one element at this means

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1 component.

2 Next slide. And then the last element, 11:48:00
 3 which is the means for filtering, then picks up the
 4 source filtering information and it filters the data,
 5 the data packet in response to the source filtering
 6 information. So the source filtering information is
 7 what comes out of the source protect table 78, the
 8 record 80 there and the buffered routing logic, which
 9 again is described at column 13, beginning at line 14,
 10 describes how that source filtering information is
 11 used to filter the data packet.

12 So essentially Claim 8 pretty much defines 11:48:38
 13 what's in the left-hand part of Figure 2.

14 Then when you go to Claim 12 which is 11:48:48
 15 talking about the means for looking up information
 16 associated with the destination address using the
 17 destination address, again you have the destination
 18 address over there coming out of that buffer. The
 19 information that's associated with the destination
 20 address is what comes out of the destination routing
 21 table, and everything in between there is what you
 22 need in order to get that information from the
 23 destination address.

24 Now, next slide. It turns out that we can't 11:49:19
 25 stop there. Because as the patent explains, there are

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1 alternative embodiments that correspond to the claim.
2 And if you can look at column 50, line 57, Figure 3 is
3 described as an alternate embodiment. And it has
4 different ways of doing those same functions. It has
5 an octet register 104 and a delay buffer, which is
6 shown in blue but is not numbered, and that's how you
7 receive the data packet.

8 You've got, again, the source address coming 11:49:57
9 out of there and the source filtering information
10 coming out of the source bank, and everything in
11 between there except for the destination bank is how
12 you get that source filtering information.

13 And then the last element is the means for 11:50:07
14 filtering, which is the source protect table 110, and
15 then some buffered routing logic, which isn't shown
16 but does happen to be shown in Figure 2.

17 And then when you look at Claim 12 then, 11:50:20
18 you've got, again, your destination address and your
19 information associated with destination address and it
20 kind of overlaps with the means for looking up stuff.
21 The patent describes it, that it serves a double duty.

22 But again, you need everything in between 11:50:40
23 there to get from the destination address to the
24 information associated with the destination address.

25 And then if you go to the next slide. 11:50:46

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1 Figure 5 is described in column 22 as providing
2 additional details. And again, you have, you know,
3 without belaboring the point here, the same three --
4 well, we've got the same three elements of Claim 8
5 that correspond as shown in our brief in here in
6 Figure 5.

7 Just go through the next slide. There's 11:51:12
8 your means for looking up and then your means for
9 filtering.

10 Then next slide. Your means for looking up 11:51:22
11 using the destination address is what's shown in red.

12 And so the real issue here, when we refer to 11:51:29
13 Figures 3 and 5, is whether you must, when you're
14 construing a means plus function claim, consider all
15 the different embodiments. And the answer clearly is
16 yes.

17 Next slide. There's a bunch of cases 11:51:45
18 without referring the Court to this one which explains
19 in the highlighted portion that the identification of
20 corresponding structure may embrace more than the
21 preferred embodiment. A means plus function claim
22 encompasses all structure in the specification
23 corresponding to that element and equivalent
24 structures.

25 So in this particular case it was -- the 11:52:03

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1 claim construction was remanded to the Court because
 2 the Court had not considered the alternative
 3 embodiments to suggest that it is entirely proper when
 4 considering these means plus function elements not
 5 only to look at Figure 2 but to look at the other
 6 figures as well that correspond to those functions.

7 THE COURT: Okay. Let me ask you a 11:52:26
 8 question about the means for receiving.

9 MR. YOCHE: Okay. 11:52:32

10 THE COURT: I guess, you know, we have 11:52:41
 11 here, you've suggested in Figure 2, you say MACs 34,
 12 38, 40, 42 and 44, and I guess my question is, if you
 13 look at column 13, line -- go about 1 through 10, it's
 14 talking about that the MAC level switch, 38, examines
 15 the source node address field to determine, et cetera.
 16 Then it says, MAC 34 in Figure 2 may transmit data and
 17 clock information on lines 38 to switch 38, which
 18 determines which of the destination MACs, 40, 42, 44,
 19 are to receive the information in switch 38, then it
 20 goes on.

21 So why is 38, which encompasses, I guess, in 11:53:50
 22 Figure 2 all that's encompassed by these dotted lines
 23 here, why is that a MAC and not a switch?

24 MR. YOCHE: It's a MAC switch is what 11:54:01
 25 it's called. It's called the MAC switch.

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1 THE COURT: But why is it the same as 11:54:05
2 34, 40, 42, 44 and 46?

3 MR. YOCHE: It's not. I'm sorry, it's 11:54:10
4 definitely not.

5 THE COURT: Let me stop you there. So 11:54:13
6 these MACs, the MAC 34, obviously it's receiving data
7 packets, right?

8 MR. YOCHE: That's correct. 11:54:23

9 THE COURT: Okay. And it's shown in 11:54:26
10 Figure 4 up at the top here. Then it kind of
11 describes, in what I read in 38, is examining this,
12 characterizes it as a switch, it says it's a MAC level
13 switch. And then it goes on to determine switch. And
14 then it sends the information down to these MACs down
15 at the bottom.

16 So what is a MAC switch? 11:54:48

17 MR. YOCHE: The MAC switch is what you 11:54:52
18 need, and the specification describes this. Because
19 you've got a bunch of different networks here that
20 these are communicated. Let me give you an example.

21 You've got a network here in the court, I 11:55:05
22 assume, that allows -- and one way to know what that
23 network is is you've got an e-mail address that
24 probably ends with something that refers to the
25 Eastern District of Texas. And I've got a network at

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1 my office that is an internal network that we use for
 2 the office. And the question is how do we talk to
 3 each other.

4 And the way you talk to each other is you 11:55:25
 5 need these switches or routers that connect all of our
 6 networks. And they receive packets. So if I were to
 7 send you an e-mail, I would send you a packet, it
 8 would be received by a number of switches, but in
 9 particular, here's an example of one, it would then
 10 analyze that message and determine which one of my
 11 ports to send it out to, which networks to forward it
 12 on to.

13 So you need the switches in order to connect 11:55:53
 14 the networks. And the elements that are on each
 15 network have these MACs. So the MACs don't -- those
 16 MACs don't connect the networks, they are part of a
 17 device. The switches is what connects the networks.

18 And so when you look at Claim 8, it's 11:56:21
 19 talking about a controller for connecting networks,
 20 that's why we think it's limited in this figure switch
 21 38, because that's what's connecting the networks, the
 22 switch, the MACs aren't doing it.

23 THE COURT: Okay. Let me ask. You 11:56:35
 24 mentioned in your proposals, Figures 4 and 5. Now, I
 25 guess my concern is the relationship of those figures

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1 to what's actually the claim language, the means plus
2 function term that's being addressed here.

3 I mean, what do you characterize Figure 4 as 11:57:03
4 going to? What does it -- what does it add? Does it
5 go to the exact same function that you're talking
6 about here?

7 MR. YOCHE: Figure 4 is described as 11:57:18
8 more generic.

9 If you take to look at slide 5, there's 11:57:22
10 Figure 4. Figure 4 is more -- it's more of a very
11 high-level discussion of what's happening.

12 So remember we had -- back in Figure 2 you 11:57:40
13 had the address stored in those shift registers?
14 Well, that is pretty much being shown by these things,
15 destination address and source address, which are like
16 shown as 128 and 126. So that's the source address
17 and destination address.

18 And then 130, for example, is the compressed 11:58:11
19 address directory. Really, if you will, Figure 4, I
20 don't think, shows the means for receiving. But the
21 compressed address directory is kind of a very
22 high-level diagram of something that performs the
23 function of looking up. So the addresses come in
24 after they're processed.

25 And the reason we didn't include all this 11:58:33

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1 stuff, 120, 132, 134 and 136 is it's very unclear
2 whether that's structure or whether that's actual
3 data, so we didn't stick that in.

4 But the directory there, 130, is -- 11:58:45
5 corresponds to the stuff, if you will, in pink on our
6 figures. It corresponds to the table. And then
7 you've got these linked list records which correspond
8 to the destination information and corresponds to the
9 source filtering information.

10 And I would be perfectly happy, if we wanted 11:59:13
11 to take this out, it may be confusing, because it's
12 not a complete story. So I don't mind taking that
13 Figure 4 out of the corresponding structure. Perhaps
14 we were a little bit too aggressive in including it,
15 but it's not really key to this.

16 THE COURT: Okay. What about Figure 5? 11:59:30

17 MR. YOCHE: Figure 5 is definitely 11:59:32
18 related. If you take a look at column 22, it says
19 referring out of Figure 5, this figure essentially
20 illustrates the associative memory Figure 3, so it's
21 additional details tied to Figure 3.

22 THE COURT: Okay. Is that everything 11:59:47
23 on means?

24 MR. YOCHE: Yes, Your Honor. 11:59:51

25 THE COURT: Let me ask you one question 11:59:52

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1 about MAC address. I want to go back to that term for
2 just a second.

3 I want you just to respond to Fenner sites, 11:59:58
4 column 14, I believe, lines 15 to 22.

5 MR. YOCHE: Okay. 12:00:28

6 THE COURT: And it talks about the 12:00:29
7 address length descriptions. And what it's talking
8 about is in the context of --

9 MR. YOCHE: I'm sorry, let me get the 12:00:38
10 right patent.

11 THE COURT: '906, go back to '906, 12:00:40
12 column 14, line 15 really through about 19.

13 MR. YOCHE: Okay. 12:00:47

14 THE COURT: It says: The examples 12:00:48
15 presented in Figures 2 and 3 use six octets as the
16 maximum address length since this is the length of the
17 IEEE standard physical layer, and then that says
18 that's the (MAC level) address used by Ethernet, Token
19 Ring and FDDI.

20 Now, that's what they're drawing from for a 12:01:09
21 MAC address. So what's your response specifically to
22 that?

23 MR. YOCHE: Well, the response to that 12:01:16
24 is, if you read everything here that we're talking
25 about logical addresses, because this is talking about

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1 the addresses for the source and destination. And,
2 you know, it starts here on the bottom of column 13,
3 line 60, as referring to Figure 2, which I don't think
4 there's any question is talking about looking up
5 source filtering information based upon logical
6 addresses.

7 And so now you get to column 14. And you 12:01:43
8 say, well, why is this all of a sudden talking about
9 MACs? And if you now read it in context of that,
10 essentially they're saying the logical address is
11 arbitrary. It just has to be unique. And you might
12 as well make it 48 bits because that's how long the
13 IEEE standard is for the MAC level address, or you can
14 use the Ethernet or Token Ring or FDDI.

15 It then says that this IP.ISO field is 12:02:13
16 variable link, in other words, you've got a lot of
17 flexibility what you can go. You can go up to 20
18 octet, an octet being, I believe, eight bits. But
19 it's suggesting you only using six because that's the
20 length of a MAC and there's a number that you have
21 already.

22 So it's not saying it's a physical address, 12:02:36
23 it's just saying, listen, it's arbitrary, why don't
24 you choose that since you've already got a way of
25 assigning those numbers.

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1 THE COURT: Okay. All right. Well, I 12:02:46
2 guess that completes argument on the means plus
3 function terms? Is there anything else to address?

4 MS. QUINN: Your Honor, I just wanted 12:02:56
5 to address briefly a couple points that defendants
6 made.

THE COURT: All right. 12:03:02

8 MS. QUINN: This is a media access
9 controller address. It's a physical thing. We've
10 shown it, it supports the switch. There's no way that
11 the MAC address is a logical address. The claims
12 would make no sense.

13 What is this means for receiving? It
14 doesn't say means for storing or means for computing,
15 it says means for receiving. And anyone that has a
16 computer knows what's the means for receiving
17 electricity to your computer, it's not the battery
18 that's storing the charge, it's what you're connecting
19 it to, a plug, a connector. That's what the MACs do,
20 they connect to the networks out there.

21 Their contention that MACs don't connect to 12:03:49
22 the network, that actually goes against the
23 specification. The MACs are described and we've shown
24 portions, column 13, lines 10 through 15 says: The
25 media access controller, MAC, is a defined entity in

1 each of the above listed LAN standards which connects
2 the computer side logical level interface physical
3 media. So the circuitry and the computer side of the
4 MAC only deals with the header information bits. It's
5 the actually thing that connects all the computers
6 together. And that's on the '906 patent.

7 And that's all we have, Your Honor. 12:04:30

8 THE COURT: All right. Okay. So are 12:04:32
9 we done?

10 MR. GOVETT: Yes, Your Honor. 12:04:37

11 MR. YOCHEZ: I believe so, Your Honor. 12:04:39

12 THE COURT: All right. Well, I know we 12:04:40
13 had a few other terms I think were at issue, but we'll
14 take those on the briefs, I guess. Is that what I'm
15 hearing?

16 MS. QUINN: Which terms, Your Honor? 12:04:51

17 THE COURT: Well, I guess I had down 12:04:52
18 for the '906 patent each communication stored
19 associated with the MAC address, stored association.

20 MS. QUINN: Your Honor, those terms 12:04:59
21 were addressed in connection with the associated with
22 or association with terms. The issues are the same on
23 all of those.

24 THE COURT: All right. Very good then. 12:05:07
25 Well, I appreciate your arguments and thank you for

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1 getting them shortened up.

2 Let me just ask this, I assume that the case 12:05:13
3 has been mediated to this point?

4 MR. CHIAVIELLO: Yes, it has, Your 12:05:20
5 Honor.

6 THE COURT: As always, we're going to 12:05:21
7 work on the claim construction opinion and get it out
8 as soon as possible. But once we do, I want you to
9 mediate for a second time. And I would suggest -- who
10 is your mediator?

11 MR. CHIAVIELLO: Jim Knowles. 12:05:35

12 THE COURT: If y'all will get with him. 12:05:37
13 As always, he's very busy with mediations. I know
14 y'all are all very busy, as your clients are as well.
15 So go ahead and get a date, mark it down, because I'm
16 going to expect you to mediate it if the case
17 continues on and make a good, strong effort to get it
18 resolved again at a formal mediation once the claim
19 construction opinion is issued.

20 MR. CHIAVIELLO: Your Honor, should we 12:06:00
21 assume the claim construction opinion within 30 days?

22 THE COURT: Never assume. No, I can't. 12:06:03
23 As you may be aware, as y'all are very busy, we're
24 very busy. We will get it out as soon as we can.
25 That's typically our goal, but I never promise

1 anything. I don't know what's going to come up in the
2 meantime. But we will get it out as soon as we can.

3 All right. Anything further from the 12:06:23
4 plaintiff?

5 MR. CHIAVIELLO: Nothing, Your Honor. 12:06:25

6 THE COURT: Anything from the 12:06:27
7 defendants?

8 MR. YOCHE'S: Nothing, Your Honor. 12:06:29

9 THE COURT: Thank you very much for 12:06:30
10 your arguments and we are adjourned.

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I certify that the above and foregoing pages contain a true and correct transcript.

4 KIMBERLY J. JULIAN

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